

MORRIS RHONDA J
Form 3
February 11, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

^ MORRIS RHONDA J

(Last) (First) (Middle)

6001 BOLLINGER CANYON ROAD

(Street)

SAN RAMON, ^ CA ^ 94583

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

02/01/2019

3. Issuer Name and Ticker or Trading Symbol
CHEVRON CORP [CVX]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

788

D

^

Common Stock

8,688 ⁽¹⁾

I

by 401(k) plan

Common Stock

25,246

I

by Spouse

Common Stock

770

I

by Morris Living Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Non-Qualified Stock Option (Right to Buy)	Â <u>(2)</u>	01/27/2020	Common Stock	7,800	\$ 73.7	D	Â
Non-Qualified Stock Option (Right to Buy)	Â <u>(3)</u>	01/26/2021	Common Stock	7,200	\$ 94.64	D	Â
Non-Qualified Stock Option (Right to Buy)	Â <u>(4)</u>	01/25/2022	Common Stock	9,400	\$ 107.73	D	Â
Non-Qualified Stock Option (Right to Buy)	Â <u>(5)</u>	01/30/2023	Common Stock	9,600	\$ 116.45	D	Â
Non-Qualified Stock Option (Right to Buy)	Â <u>(6)</u>	01/29/2024	Common Stock	7,700	\$ 116	D	Â
Non-Qualified Stock Option (Right to Buy)	Â <u>(7)</u>	01/28/2025	Common Stock	15,000	\$ 103.71	D	Â
Non-Qualified Stock Option (Right to Buy)	Â <u>(8)</u>	01/27/2026	Common Stock	22,200	\$ 83.29	D	Â
Non-Qualified Stock Option (Right to Buy)	Â <u>(9)</u>	01/25/2027	Common Stock	22,500	\$ 117.24	D	Â
Non-Qualified Stock Option (Right to Buy)	Â <u>(10)</u>	01/31/2028	Common Stock	19,100	\$ 125.35	D	Â
Non-Qualified Stock Option (Right to Buy)	Â <u>(11)</u>	01/30/2029	Common Stock	22,500	\$ 113.01	D	Â
Phantom Stock Units	Â <u>(12)</u>	Â <u>(12)</u>	Common Stock	574	\$ <u>(12)</u>	I	Excess Benefit Plan
Restricted Stock Units	Â <u>(13)</u>	Â <u>(13)</u>	Common Stock	3,129	\$ <u>(13)</u>	D	Â
Restricted Stock Units	Â <u>(14)</u>	Â <u>(14)</u>	Common Stock	2,886	\$ <u>(14)</u>	D	Â
Restricted Stock Units	Â <u>(15)</u>	Â <u>(15)</u>	Common Stock	3,160	\$ <u>(15)</u>	D	Â
Non-Qualified Stock Option (Right to Buy)	Â <u>(2)</u>	01/27/2020	Common Stock	8,100	\$ 73.7	I	by Spouse
Non-Qualified Stock Option (Right to Buy)	Â <u>(3)</u>	01/26/2021	Common Stock	7,500	\$ 94.64	I	by Spouse
Non-Qualified Stock Option (Right to Buy)	Â <u>(4)</u>	01/25/2022	Common Stock	6,200	\$ 107.73	I	by Spouse

Non-Qualified Stock Option (Right to Buy)	Â (5)	01/30/2023	Common Stock	6,400	\$ 116.45	I	by Spouse
Non-Qualified Stock Option (Right to Buy)	Â (6)	01/29/2024	Common Stock	4,900	\$ 116	I	by Spouse

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MORRIS RHONDA J 6001 BOLLINGER CANYON ROAD SAN RAMON, CA 94583	Â	Â	Â Vice President	Â

Signatures

/s/ Christine L. Cavallo, Attorney-in-Fact for Rhonda J. Morris

02/11/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This number represents the reporting person's shares of Chevron Corporation common stock under the Chevron Corporation Employee Savings and Investment Plan, a 401 (k) plan.
- (2) Option granted 1/27/2010. One-third of the shares subject to the option vested on each of the first, second and third anniversaries of the date of grant.
- (3) Option granted 1/26/2011. One-third of the shares subject to the option vested on each of the first, second and third anniversaries of the date of grant.
- (4) Option granted 1/25/2012. One-third of the shares subject to the option vested on each of the first, second and third anniversaries of the date of grant.
- (5) Option granted 1/30/2013. One-third of the shares subject to the option vested on each of the first, second and third anniversaries of the date of grant.
- (6) Option granted 1/29/2014. One-third of the shares subject to the option vested on each of the first, second and third anniversaries of the date of grant.
- (7) Option granted 1/28/2015. One-third of the shares subject to the option vested on each of the first, second and third anniversaries of the date of grant.
- (8) Option granted 1/27/2016. One-third of the shares subject to the option vested on each of the first, second and third anniversaries of the date of grant.
- (9) Option granted 1/25/2017. One-third of the shares subject to the option vested on January 31, 2018, and one-third of the shares vested on January 31, 2019. The balance of the shares vests on January 31, 2020.
- (10) Option granted 1/31/2018. One-third of the shares subject to the option vested on January 31, 2019, and one-third of the shares subject to the option will vest on January 31, 2020 and January 31, 2021, respectively.
- (11) Option granted 1/30/2019. One-third of the shares subject to the option vest on January 31, 2020, January 31, 2021 and January 31, 2022, respectively.
- (12) Each phantom stock unit is the economic equivalent of one share of Chevron Corporation common stock. The phantom stock units, which are issued under the Chevron ESIP-RP, are payable in cash upon the reporting person's retirement or other termination of service.
- (13) Restricted stock units granted on 1/25/2017 under the Chevron Corporation Long-Term Incentive Plan. Each restricted stock unit is the economic equivalent of one share of Chevron Corporation common stock. Restricted stock units accrue dividend equivalents in the form

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of additional restricted stock units and are payable in cash upon vesting on January 31, 2022.

- (14) Restricted stock units granted on 1/31/2018 under the Chevron Corporation Long-Term Incentive Plan. Each restricted stock unit is the economic equivalent of one share of Chevron Corporation common stock. Restricted stock units accrue dividend equivalents in the form of additional restricted stock units and are payable in cash upon vesting on January 31, 2023.

- (15) Restricted stock units granted on 1/30/2019 under the Chevron Corporation Long-Term Incentive Plan. Each restricted stock unit is the economic equivalent of one share of Chevron Corporation common stock. Restricted stock units accrue dividend equivalents in the form of additional restricted stock units and are payable in cash upon vesting on January 31, 2024.

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Remarks:

ExhibitÂ List:Â Â ExhibitÂ 24Â -Â PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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