

RANKIN THOMAS T  
Form 4  
December 19, 2018

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RANKIN THOMAS T

2. Issuer Name and Ticker or Trading Symbol  
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
5875 LANDERBROOK DRIVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
12/17/2018

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  Other (specify below)  
Member of a Group

MAYFIELD HEIGHTS, OH 44124  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)                      |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
|                                 |                                      |  | Code                           | V Amount (D)  | Price   |  |  |
| Class A Common Stock            | 12/17/2018                           |  | P                              | 4 <sup>(1)</sup> A  | \$ 62.51 <sub>(2)</sub> 481   | I  | Spouse's proportionate interest in shares held by Rankin Associates VI     |
| Class A Common Stock            | 12/18/2018                           |  | P                              | 126 <sup>(1)</sup> A  | \$ 62.4261 151,339  | I  | Reporting Person serves as Trustee of a Trust for the benefit of Thomas T. |

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|                            |            |   |               |   |                        |        |   |  |
|----------------------------|------------|---|---------------|---|------------------------|--------|---|--|
| Class A<br>Common<br>Stock | 12/18/2018 | P | 3 <u>(1)</u>  | A | \$ 61.14               | 24,205 | I | Rankin<br>Serves as<br>Trustee of<br>GSTs for the<br>benefit of<br>Matthew<br>Rankin |
| Class A<br>Common<br>Stock | 12/18/2018 | P | 3 <u>(1)</u>  | A | \$ 61.14               | 24,205 | I | Serves as<br>Trustee of<br>GSTs for the<br>benefit of<br>James Rankin                |
| Class A<br>Common<br>Stock | 12/18/2018 | P | 3 <u>(1)</u>  | A | \$ 61.14               | 24,205 | I | Serves as<br>trustee of<br>GSTs for the<br>benefit of<br>Thomas P.<br>Rankin         |
| Class A<br>Common<br>Stock | 12/18/2018 | P | 3 <u>(1)</u>  | A | \$ <u>62.47</u><br>(3) | 543    | I | Proportionate<br>Interest in<br>shares held by<br>RAV                                |
| Class A<br>Common<br>Stock | 12/18/2018 | P | 61 <u>(1)</u> | A | \$ <u>62.47</u><br>(3) | 24,266 | I | Serves as<br>Trustee of<br>GSTs for the<br>benefit of<br>Matthew<br>Rankin           |
| Class A<br>Common<br>Stock | 12/18/2018 | P | 61 <u>(1)</u> | A | \$ <u>62.47</u><br>(3) | 24,266 | I | Serves as<br>Trustee of<br>GSTs for the<br>benefit of<br>James Rankin                |
| Class A<br>Common<br>Stock | 12/18/2018 | P | 61 <u>(1)</u> | A | \$ <u>62.47</u><br>(3) | 24,266 | I | Serves as<br>trustee of<br>GSTs for the<br>benefit of<br>Thomas P.<br>Rankin         |
| Class A<br>Common<br>Stock | 12/18/2018 | P | 4 <u>(1)</u>  | A | \$ 61.14               | 24,270 | I | Serves as<br>Trustee of<br>GSTs for the<br>benefit of<br>James Rankin                |
| Class A<br>Common          | 12/18/2018 | P | 4 <u>(1)</u>  | A | \$ 61.14               | 24,270 | I | Serves as<br>Trustee of  |

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|                      |            |   |                |   |                               |        |   |  |
|----------------------|------------|---|----------------|---|-------------------------------|--------|---|--|
| Stock                |            |   |                |   |                               |        |   | GSTs for the benefit of Matthew Rankin   |
| Class A Common Stock | 12/18/2018 | P | 4 <u>(1)</u>   | A | \$ 61.14                      | 24,270 | I | Serves as trustee of GSTs for the benefit of Thomas P. Rankin                    |
| Class A Common Stock | 12/18/2018 | P | 107 <u>(1)</u> | A | \$ <u>62.47</u><br><u>(3)</u> | 24,377 | I | Serves as Trustee of GSTs for the benefit of James Rankin                        |
| Class A Common Stock | 12/18/2018 | P | 107 <u>(1)</u> | A | \$ <u>62.47</u><br><u>(3)</u> | 24,377 | I | Serves as Trustee of GSTs for the benefit of Matthew Rankin                      |
| Class A Common Stock | 12/18/2018 | P | 107 <u>(1)</u> | A | \$ <u>62.47</u><br><u>(3)</u> | 24,377 | I | Serves as trustee of GSTs for the benefit of Thomas P. Rankin                    |
| Class A Common Stock | 12/18/2018 | P | 2 <u>(1)</u>   | A | \$ <u>62.47</u><br><u>(3)</u> | 225    | I | Reporting person's proportionate interest in shares held in Rankin Associates VI |
| Class A Common Stock | 12/18/2018 | P | 5 <u>(1)</u>   | A | \$ <u>62.47</u><br><u>(3)</u> | 486    | I | Spouse's proportionate interest in shares held by Rankin Associates VI           |
| Class A Common Stock |            |   |                |   |                               | 3,622  | I | Held by Spouse <u>(4)</u>  |
| Class A Common Stock |            |   |                |   |                               | 14,343 | I | Spouses proportionate interest in shares held by                                 |

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|                            |        |   |   |
|----------------------------|--------|---|---|
| Class A<br>Common<br>Stock | 1,843  | I | RA1 <sup>(4)</sup><br>spouse's<br>proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>RA II LP <sup>(4)</sup> |
| Class A<br>Common<br>Stock | 9,267  | I | son's<br>proportionate<br>lp interest in<br>shares held by<br>RA II for<br>benefit of son<br><sup>(4)</sup>                           |
| Class A<br>Common<br>Stock | 8,177  | I | Co-Trustee<br>for the benefit<br>of Reporting<br>Person's son.<br><sup>(4)</sup>  |
| Class A<br>Common<br>Stock | 7      | D |   |
| Class A<br>Common<br>Stock | 19     | I | proportionate<br>general<br>partnership<br>interest in<br>shares of<br>Rankin<br>Associates<br>IV, L.P held<br>by the Trust           |
| Class A<br>Common<br>Stock | 25,324 | I | proportionate<br>interest in<br>shares held in<br>RA1   |
| Class A<br>Common<br>Stock | 34,849 | I | proportionate<br>limited<br>partnership<br>interests in<br>shares held by<br>Rankin<br>Associates II,<br>L.P                          |
| Class A<br>Common<br>Stock | 65,824 | I | Proportionate<br>LP interest in<br>shares held in   |

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|                            |       |   |   |
|----------------------------|-------|---|---|
| Class A<br>Common<br>Stock | 64    | I | RA4<br>Proportionate<br>interest in<br>shares held by<br>RA5 held by<br>RMI |
| Class A<br>Common<br>Stock | 76    | I | Proportionate<br>interest in<br>shares held by<br>RA6 held by<br>RMI        |
| Class A<br>Common<br>Stock | 1,975 | I | Proportionate<br>interest in<br>shares held in<br>RMI                       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares |
| Class B<br>Common<br>Stock                 | (5)  |                                      |  |                                |   | (5)  | (5)   | Class A<br>Common<br>Stock                 | 3,622                      |
| Class B<br>Common<br>Stock                 | (5)  |                                      |  |                                |   | (5)  | (5)   | Class A<br>Common<br>Stock                 | 25,657                     |
| Class B<br>Common                          | (5)  |                                      |  |                                |   | (5)  | (5)   | Class A<br>Common                          | 1,843                      |

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| Stock                      |     |     |     | Stock                      |        |
|----------------------------|-----|-----|-----|----------------------------|--------|
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 6,889  |
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 6,889  |
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 6,889  |
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 9,267  |
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 8,509  |
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 7      |
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 31     |
| Class B<br>Common          | (5) | (5) | (5) | Class A<br>Common          | 45,300 |

|                            |     |     |     |                            |         |
|----------------------------|-----|-----|-----|----------------------------|---------|
| Stock                      |     |     |     | Stock                      |         |
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 34,849  |
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 104,286 |
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 1,975   |
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 145,912 |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |                   |
|---|---------------|-----------|---------|-------------------|
|   | Director      | 10% Owner | Officer | Other             |
| RANKIN THOMAS T<br>5875 LANDERBROOK DRIVE<br>MAYFIELD HEIGHTS, OH 44124 |               |           |         | Member of a Group |

## Signatures

/s/ Suzanne S. Taylor,  
attorney-in-fact

12/19/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Dec-17-Weighted Average - Share Price represents average price between \$62.10 and \$62.75.
- (3) 2018-Dec-18-Weighted Average - Share Price represents average price between \$62.00 and \$62.49.
- (4) Reporting Person disclaims beneficial ownership of all such shares.

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(5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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