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ARROWHEAD RESEARCH CORP

Form 5

November 12, 2009

15								OMB AP	PROVAL
_			OMB Number:	3235-0362					
s box if subject		Was	shington, D	.C. 20549				Expires:	January 31, 2005
116. Form ANN ons inue.						ENEF	ICIAL	Estimated a burden hour response	verage
Filed pur	(a) of the Pu	ıblic U	tility Holdir	ng Compar	ıy Ac	et of 19		ı	
Address of Reporting R BRUCE	\$	Symbol ARROV	WHEAD RI			Iss	suer		
(Month/D			h/Day/Year)				X_ Officer (give low)	titleOthe	Owner r (specify
H LAKE SUITE 703							Execu	uive Chamhan	
(Street)				Original		6.			orting
A, CA 91101						_	Form Filed by M		
(State)	(Zip)	Tabl	e I - Non-Der	rivative Secu	rities	Acquir	ed, Disposed of,	or Beneficiall	y Owned
(Month/Day/Year) Executi any		on Date, if Transacti Code		(A) or Disposed of (D (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year	Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount	(A) or (D)	Price	(Instr. 3 and 4)		
	UNITED s box if subject 16. Form ANN ons nue. ction Filed pur oldings Section 17(ons Address of Reporting PR BRUCE (First) H LAKE SUITE 703 (Street) A, CA 91101 (State) 2. Transaction Date	WNITED STATES S s box if subject 116. Form Ons Onue. ction Filed pursuant to Se oldings Section 17(a) of the Pt 30(h) o ons Address of Reporting Person * FR BRUCE (First) (Middle) (State) (State) (Zip) 2. Transaction Date (Month/Day/Year) (Month/Day/Year) (State) (ANNUAL STA ANNUAL STA (Middle) (Output) (State) (Zip) 2. Transaction Date (Month/Day/Year) (Zip)	UNITED STATES SECUE s box if subject 116. Form Ons OWNER ons nue. ction Filed pursuant to Section 1 oldings Section 17(a) of the Public U 30(h) of the In ons Address of Reporting Person * 2. Issuer i Symbol ARROV [ARWE (First) (Middle) 3. Statem (Month/E 09/30/2 H LAKE SUITE 703 (Street) 4. If Ame Filed(Month/E) A, CA 91101 (State) (Zip) Table 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if	UNITED STATES SECURITIES AN Washington, D Subject 116. Form Ons OWNERSHIP OF OWNERSHIP OF Filed pursuant to Section 16(a) of the Soldings Section 17(a) of the Public Utility Holdin 30(h) of the Investment C ONS Address of Reporting Person TR BRUCE ARROWHEAD RI [ARWR] (First) (Middle) 3. Statement for Issuer's (Month/Day/Year) 09/30/2009 H LAKE SUITE 703 (Street) 4. If Amendment, Date Filed(Month/Day/Year) A, CA 91101 (State) (Zip) Table I - Non-Der 2. Transaction Date (Month/Day/Year) 2. Transaction Date Filed(Month/Day, Year) A, CA 91101	UNITED STATES SECURITIES AND EXCHAUSES abox if subject 116. Form Ome Of Securities Of Security Of Se	UNITED STATES SECURITIES AND EXCHANG s box if subject 116. ANNUAL STATEMENT OF CHANGES IN B OWNERSHIP OF SECURITIES OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exch oldings Section 17(a) of the Public Utility Holding Company Act 30(h) of the Investment Company Act of Ons Address of Reporting Person * ARROWHEAD RESEARCH COI [ARWR] (First) (Middle) 3. Statement for Issuer's Fiscal Year Ender (Month/Day/Year) 09/30/2009 H LAKE SUITE 703 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) A, CA 91101 (State) (Zip) Table I - Non-Derivative Securities 2. Transaction Date (Month/Day/Year) 2. Issuer Name and Ticker or Trading Symbol ARROWHEAD RESEARCH COI [ARWR] 4. If Amendment, Date Original Filed(Month/Day/Year) A, CA 91101	UNITED STATES SECURITIES AND EXCHANGE COL s box if subject 1.16. 1-16. 1	UNITED STATES SECURITIES AND EXCHANGE COMMISSION s box if subject 116. 176. 176. 176. 176. 176. 176. 176.	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Subject 1.16. Form OWNERSHIP OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, oldings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Address of Reporting Person ARROWHEAD RESEARCH CORP [ARWR] (First) (Middle) ARROWHEAD RESEARCH CORP [ARWR] (First) (Middle) AS Statement for Issuer's Fiscal Year Ended (Month/Day/Year) (Month/Day/Year) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) (Check applicable line) A, CA 91101 A, CA 91101 A, CA 91101 A. Securities Acquired, Disposed of, or Beneficiall (Month/Day/Year) (Code (Instr. 3, 4 and 5) Owned at end (O) Ownership Beneficially Owned at end (O) Ownership Form: Direct Owned at end (O) Original Filed (Instr. 4) Form: Direct Owned at end (O) original Form: Direct Owned at end (D) o

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	nt of	Derivative	
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	
	Derivative				Securities			(Instr.	3 and 4)		
	Security				Acquired						
					(A) or						
					Disposed						
					of (D)						
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
						Date	Expiration		Number		
						Exercisable	Date		of		
					(A) (D)				Shares		
					(4) (1)				SHales		

of D

Is Fi

Reporting Owners

Reporting Owner Name / Address	Relationships						
roporous o man ramo, raminoso	Director	10% Owner	Officer	Other			
STEWART R BRUCE 201 SOUTH LAKE AVENUE SUITE 703 PASADENA, CA 91101	ÂX	Â	Executive Chairman	Â			

Signatures

R. Bruce Stewart 11/09/2009

**Signature of Date Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. /td>**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).(1)This transaction was executed in multiple trades at prices ranging from \$66.56 to \$66.86 per share. The price reported above reflects the weighted average sales price. Mr. Carroll hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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