Kuipers Jacob A Form 5 February 14, 2018

Check this box if

no longer subject

to Section 16.

5 obligations

may continue.

Form 4 or Form

FORM 5

**OMB APPROVAL** 

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ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940

Form 4 Transactions Reported

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Kuipers Jacob A Symbol NACCO INDUSTRIES INC [NC] (Check all applicable) (Last) (First) (Middle) 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) Director 10% Owner Officer (give title \_\_X\_ Other (specify 12/31/2017 below) below) 5875 LANDERBROOK member of a group DRIVE, Â SUITE 220 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year)

**MAYFIELD** HEIGHTS, OHÂ 44124

(State)

(City)

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

(check applicable line)

| (City)                               | (State)                                 | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |  |   |   |  |   |                           |  |
|--------------------------------------|---|--|---|--|---|---|--|---|---------------------------|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                      | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price |   | 5. Amount of<br>Securities<br>Beneficially<br>Owned at end<br>of Issuer's<br>Fiscal Year<br>(Instr. 3 and<br>4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |                           |  |
| Class A<br>Common<br>Stock           | 12/14/2017                              | Â  | G                                       | 81   | A | \$ 0<br>(1)   | 13,793   | I   | By<br>Spouse/RAII         |  |
| Class A<br>Common<br>Stock           | 12/14/2017                              | Â  | G                                       | 163  | A | \$ 0<br>(1)   | 507  | I   | By Assoc<br>II/Daughter 1 |  |
| Class A<br>Common<br>Stock           | 12/14/2017                              | Â  | G                                       | 163  | A | \$ 0<br>(1)   | 362  | I   | By Assoc<br>II/Daughter 2 |  |

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| Class A<br>Common<br>Stock | 12/14/2017 | Â | G | 81 | A | \$ 0<br>(1) | 13,793 | I | By<br>Spouse/RAII  |
|----------------------------|------------|---|---|----|---|-------------|--------|---|--------------------|
| Class A<br>Common<br>Stock | Â          | Â | Â | Â  | Â | Â           | 240    | D | Â                  |
| Class A<br>Common<br>Stock | Â          | Â | Â | Â  | Â | Â           | 10,399 | I | By<br>Spouse/Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     | ate                | 7. Title<br>Amour<br>Underl<br>Securir<br>(Instr. | nt of<br>lying                         | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |
|---|---|--------------------------------------|---|---|---|---------------------|--------------------|---|--|---|
|   |   |                                      |   |   | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |

Relationships

# **Reporting Owners**

| Reporting Owner Name / Address | Keittionsinps |           |         |                   |  |  |  |  |
|--------------------------------|---------------|-----------|---------|-------------------|--|--|--|--|
|                                | Director      | 10% Owner | Officer | Other             |  |  |  |  |
| Kuipers Jacob A                |               |           |         |                   |  |  |  |  |
| 5875 LANDERBROOK DRIVE         | â             | â         | â       | member of a group |  |  |  |  |
| SUITE 220                      | А             | Α         | Α       | member of a group |  |  |  |  |
| MAYFIELD HEIGHTS Â OHÂ 44124   |               |           |         |                   |  |  |  |  |

## **Signatures**

/s/ Jesse L. Adkins,
attorney-in-fact

\*\*Signature of Reporting Person Date

Reporting Owners 2

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) N/A
- (2) Represents the Reporting Person's spouse's proportionate limited partnership interests in shares held by Rankin Associates II, L.P. Reporting Person disclaims beneficial ownership of all such shares.
- Represents the Reporting Person's daughter's proportionate limited partnership interest in shares held by Rankin Associates II, L.P. which
- (3) is held in a trust for the benefit of the daughter. Reporting Person's spouse is the trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (4) Held in Trust for benefit of Reporting Person's spouse. Reporting Person disclaims beneficial ownership of all such shares.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.