

Raja Prabu G.
Form 4
December 18, 2017

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Raja Prabu G.

2. Issuer Name and Ticker or Trading Symbol
APPLIED MATERIALS INC /DE [AMAT]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
12/14/2017

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
SVP, Semi. Products Group

C/O APPLIED MATERIALS, INC., 3050 BOWERS AVE., M/S 1268, PO BOX 58039

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

SANTA CLARA, CA 95054

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	12/14/2017		A	(A) Amount 46,272 (1)	\$ 0 420,922	D	
Common Stock	12/14/2017		A	(A) Amount 28,016 (2)	\$ 0 448,938	D	
Common Stock	12/14/2017		A	(A) Amount 28,016 (3)	\$ 0 476,954 (4)	D	
Common Stock					4,412.614 (5)	I	By 401(k) Plan

Edgar Filing: Raja Prabu G. - Form 4

vest ranges from 0% to 200% of the target amount, depending on the achievement of specified performance goals.

- Represents restricted stock units that will be converted on a one-for-one basis into shares of Applied Materials common stock upon vesting, which vesting is scheduled to occur in three equal annual installments beginning December 19, 2018, depending on the achievement of a specified performance goal and continued employment through each applicable vest date.
- (3)

- Number of shares includes 267,412 performance shares and restricted stock units previously reported that in the future will be converted on a one-for-one basis into shares of Applied Materials common stock upon vesting, which vesting is scheduled to occur as follows: (a) 10,000 restricted stock units are scheduled to vest in January 2018, (b) 10,000 restricted stock units are scheduled to vest in October 2018, (c) 108,084 performance shares are scheduled to vest in installments in December of 2017 through 2019, (d) 51,911 performance shares are scheduled to vest in December 2019, which number of shares is the target amount, and the actual number of shares that may vest ranges from 0% to 200% of the target amount, depending on achievement of specified performance goals, (e) 51,911 restricted stock units are scheduled to vest in installments in December of 2017 through 2019 and (f) 35,506 restricted stock units are scheduled to vest in installments in December of 2018 through 2021, depending on achievement of a specified performance goal (all vesting is subject to continued employment through each applicable vest date).
- (4)
- (5) Increased number of shares reflects dividend reinvestment under 401(k) Plan that is exempt under Rule 16b-3.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.