Innophos Holdings, Inc. Form 4

April 04, 2017

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

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subject to Section 16. Form 4 or Form 5 obligations may continue.

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Simko Mainente Jean Marie Issuer Symbol Innophos Holdings, Inc. [IPHS] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify 259 PROSPECT PLAINS 04/03/2017 below) below) RD., BLDG A SVP, HR (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting CRANBURY, NJ 08512 Person

(State)

(City)

()	Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
2. Transaction Date	2A. Deemed	3.	4. Securities	5. Amount of	6. Ownership	7. Nature of				
(Month/Day/Year)	Execution Date, if	Transactio	onAcquired (A) or	Securities	Form: Direct	Indirect				
	any	Code	Disposed of (D)	Beneficially	(D) or	Beneficial				
	(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	Indirect (I)	Ownership				
				Following	(Instr. 4)	(Instr. 4)				
			( )	Reported						
				Transaction(s)						
		Code V		(Instr. 3 and 4)						
04/03/2017		A	1,428 A \$ 0	4,588	D					
	2. Transaction Date (Month/Day/Year)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)	2. Transaction Date 2A. Deemed 3.  (Month/Day/Year) Execution Date, if any Code (Month/Day/Year) (Instr. 8)	2. Transaction Date 2A. Deemed 3. 4. Securities  (Month/Day/Year) Execution Date, if any Code Disposed of (D) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5)  (A) or Code V Amount (D) Price 1,428	2. Transaction Date   2A. Deemed   3.   4. Securities   5. Amount of	2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Following (Instr. 4)  Code V Amount (D) Price (Instr. 3 and 4)  O4/03/2017  2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership Form: Direct 6. Ownership Form: Direct 7. Owned 1. Owned 1. Owned 1. Owned 1. Owned 1. Ownership Form: Direct 6. Ownership Form: Direct 7. Owned 1. Owned 1. Owned 1. Ownership Form: Direct 7. Owned 1. Owned 1. Ownership Form: Direct 7. Owned 1. Owned 1. Ownership Form: Direct 7. Owned 1. Owned 1. Owned 1. Ownership Form: Direct 7. Owned 1. Owned 1				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Innophos Holdings, Inc. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
2017 Option to Purchase	\$ 52.51	04/03/2017		A	4,778	(2)	03/31/2027	Common Stock	4,778

De

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Simko Mainente Jean Marie 259 PROSPECT PLAINS RD. BLDG A CRANBURY, NJ 08512

SVP, HR

## **Signatures**

/s/ Joshua S. Horenstein, as Attorney-In-Fact

04/04/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On April 3, 2017 these shares of restricted common stock were granted to the reporting person to be held in escrow, subject to certain (1) vesting and forfeiture provisions. The grant vests in three equal installments over a three year period beginning March 31, 2018. The second installment date is March 31, 2019, followed by the third installment due on March 31, 2020.
- (2) The reporting person was granted options on April 3, 2017. Options vest in 3 equal annual installments beginning March 31, 2018. The second installment date is March 31, 2019, followed by third installment date of March 31, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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