Innophos Holdings, Inc.

Form 4

February 27, 2017

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

**OMB APPROVAL** 

January 31, 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person * Brodheim Charles			2. Issuer Name <b>and</b> Ticker or Trading Symbol Innophos Holdings, Inc. [IPHS]	5. Relationship of Reporting Person(s) to Issuer		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check all applicable)		
			(Month/Day/Year)	Director 10% Owner		
259 PROSPECT PLAINS ROAD			02/23/2017	_X_ Officer (give title Other (specify below) VP, Corporate Controller		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
CRANBURY, NJ 08512			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State) (	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Stock	02/23/2017		M	4,014	A	\$ 15.2	42,197	D		
Common Stock	02/23/2017		M	2,682	A	\$ 18.38	44,879	D		
Common Stock	02/23/2017		M	1,538	A	\$ 25.68	46,417	D		
Common Stock	02/23/2017		M	32	A	\$ 50.12	46,449	D		
Common Stock	02/23/2017		M	2,842	A	\$ 14.57	49,291	D		

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Common Stock	02/23/2017	M	360	A	\$ 39.67	49,651	D
Common Stock	02/23/2017	M	35	A	\$ 49.54	49,686	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Derivative		6. Date Exer Expiration D (Month/Day)	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option To Purchase Common Stock	\$ 15.2	02/23/2017		M	4,014	<u>(1)</u>	10/21/2017	Common Stock	4,014
Option To Purchase Common Stock	\$ 18.38	02/23/2017		M	2,682	<u>(2)</u>	04/25/2018	Common Stock	2,682
Option To Purchase Common Stock	\$ 25.68	02/23/2017		M	1,538	(3)	03/11/2020	Common Stock	1,538
Option To Purchase Common Stock	\$ 50.12	02/23/2017		M	32	<u>(4)</u>	03/30/2022	Common Stock	32
	\$ 14.57	02/23/2017		M	2,842	(5)	05/07/2019		2,842

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Option To Purchase Common Stock							Common Stock		
Option To Purchase Common Stock	\$ 39.67	02/23/2017	М	360	<u>(6)</u>	03/18/2021	Common Stock	360	
2015 Option to Purchase Common Stock	\$ 49.54	02/23/2017	M	35	<u>(7)</u>	03/31/2025	Common Stock	35	
Option To Purchase Common Stock	\$ 15.2	02/23/2017	F(8)	4,986	<u>(1)</u>	10/21/2017	Common Stock	4,986	
Option To Purchase Common Stock	\$ 18.38	02/23/2017	F(8)	3,818	(2)	04/25/2018	Common Stock	3,818	\$
Option To Purchase Common Stock	\$ 25.68	02/23/2017	F(8)	3,162	(3)	03/11/2020	Common Stock	3,162	\$
Option To Purchase Common Stock	\$ 50.12	02/23/2017	F(8)	938	<u>(4)</u>	03/30/2022	Common Stock	938	\$
Option To Purchase Common Stock	\$ 14.57	02/23/2017	F <u>(8)</u>	3,358	<u>(5)</u>	05/07/2019	Common Stock	3,358	\$
Option To Purchase Common Stock	\$ 39.67	02/23/2017	F(8)	1,840	<u>(6)</u>	03/18/2021	Common Stock	1,840	\$
	\$ 49.54	02/23/2017	F(8)	822	<u>(7)</u>	03/31/2025		822	\$

2015 Common Option to Stock
Purchase

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Brodheim Charles 259 PROSPECT PLAINS ROAD CRANBURY, NJ 08512

VP, Corporate Controller

## **Signatures**

Common Stock

/s/ Charles
Brodheim
02/27/2017

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person was granted 9,000 options on October 22, 2007, which options vested in three equal annual installments beginning on October 22, 2008.
- (2) The reporting person was granted 6,500 options on April 25, 2008, which options vested in three equal annual installments beginning on April 25, 2009.
- (3) The report person was granted a total of 4,700 options on March 11, 2010, which options vested in three equal annual installments beginning on March 11, 2011.
- (4) The reporting person was granted a total of 970 options on March 30, 2012. Options vested in 3 equal annual installments beginning on March 30, 2013.
- (5) The reporting person was granted a total of 6,200 options on May 7, 2009, which options vested in three equal annual installments beginning on May 7, 2010.
- (6) The reporting person was granted a total of 2,200 options on March 18, 2011, which options vested in three equal annual installments beginning on March 18, 2012.
- The reporting person was granted options on May 8, 2015 covering an aggregate of 2,571 shares. Options vest in 3 equal annual installments over a three year period with the first vesting (covering 857 shares) having occurred on March 31, 2016. The second installment date is March 31, 2017, followed by the third installment date of March 31, 2018.
- (8) In connection with a stock option exercise, Mr. Brodheim paid the option exercise price and the applicable taxes to be withheld by surrendering the number of shares noted.

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