#### Edgar Filing: PUBLIX SUPER MARKETS INC - Form 5

PUBLIX SUPER MARKETS INC Form 5 January 30, 2017 **FORM** 

January S	0, 2017							
FOR	M 5					OMB AF	PROVA	L
		UNITE	D STATES	OMB Number:	3235-	0362		
	this box i ger subjec	-		Washington, D.C. 20549	Expires:	Januar	-	
Form 4 5 oblig	tion 16. 4 or Form gations ontinue.	A	NNUAL ST	TATEMENT OF CHANGES IN BENI OWNERSHIP OF SECURITIES	Estimated averag burden hours per response		2005 e 1.0	
See Instruction 1(b).Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported Form 4 Transactions ReportedSection 17(a) of the Investment Company Act of 1940Transactions Reported								
1. Name an BARNET			ng Person <u>*</u>	2. Issuer Name and Ticker or Trading Symbol PUBLIX SUPER MARKETS INC [NONE]	5. Relationship of I Issuer (Check	Reporting Pers		
(Last)		(First)	(Middle)	3. Statement for Issuer's Fiscal Year Ended	X Director	10%	Owner	

(Month/Day/Year)

Filed(Month/Day/Year)

4. If Amendment, Date Original

12/31/2016

P.O. BOX 407

(Street)

### LAKELAND, FLÂ 33802

\_X\_ Form Filed by One Reporting Person \_ Form Filed by More than One Reporting Person

6. Individual or Joint/Group Reporting

\_\_ Other (specify

below)

Vice Chairman

(check applicable line)

below)

\_X\_ Officer (give title

(City)	(State)	(Zip) Ta	rivative Securities Acquired, Disposed of, or Beneficially Owned						
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	(Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	06/07/2016	Â	J	1,172,752	D	\$ 43.95	7,956,055	Ι	By Spouse's GRAT
Common Stock	06/07/2016	Â	J	1,172,752	А	\$ 43.95	30,076,501	Ι	By Spouse (1)
Common Stock	08/31/2016	Â	J	9,546,540	D	\$ 41.9	20,529,961	I	By Spouse (1)
Common Stock	08/31/2016	Â	J	9,546,540	A	\$ 41.9	17,502,595	I	By Spouse's GRAT
	11/22/2016	Â	J	96,338	А		20,626,299	Ι	By Spouse (1)

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Common Stock						\$ 40.15			
Common Stock	11/22/2016	Â	J	96,338	D	\$ 40.15	17,406,257	Ι	By Spouse's GRAT
Common Stock	11/30/2016	Â	G	294,476	D	\$ 40.15	20,331,823	Ι	By Spouse (1)
Common Stock	Â	Â	Â	Â	Â	Â	553,362	D	Â
Common Stock	Â	Â	Â	Â	Â	Â	1,892.3003	Ι	By 401(k) (2)
Common Stock	Â	Â	Â	Â	Â	Â	255,267.951	Ι	By ESOP (3)
Common Stock	Â	Â	Â	Â	Â	Â	948,923	I	By Grandchildren's Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 2270 (9-02)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. of D So Bo C Eı Is Fi (I:
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships							
I O	Director	10% Owner	Officer	Other				
BARNETT HOYT R P.O. BOX 407 LAKELAND, FL 33802	ÂX	Â	Vice Chairman	Â				

# Signatures

/s/ Monica Allman POA on file for Hoyt R.

Barnett

\*\*Signature of Reporting Person

01/30/2017 Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of the securities for purposes of Section 16 or for any other purpose.
- (2) Acquired under the Publix Super Markets, Inc. 401(k) SMART Plan. Transaction exempt under rule 16b-3(c).
- (3) Acquired under the Publix Super Markets, Inc. Employee Stock Ownership Plan. Transaction exempt under rule 16b-3(c).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.