

UMB FINANCIAL CORP
Form 4
May 25, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Hagedorn Michael D

(Last) (First) (Middle)
1010 GRAND BLVD.
(Street)

KANSAS CITY, MO 64106

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
UMB FINANCIAL CORP [UMBF]

3. Date of Earliest Transaction (Month/Day/Year)
05/24/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

President of Subsidiary

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	05/24/2016		M		9,607	A	\$ 37.73
					64,659.8198	D	
Common Stock	05/24/2016		M		4,162	A	\$ 41.37
					68,821.8198	D	
Common Stock	05/24/2016		S		100	D	\$ 57.53
					68,721.8198	D	
Common Stock	05/24/2016		S		100	D	\$ 57.54
					68,621.8198	D	
Common Stock	05/24/2016		S		1,000	D	\$ 57.55
					67,621.8198	D	

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Common Stock	05/24/2016		S	6,349	D	\$ 57.56	61,272.8198	D	
Common Stock	05/24/2016		S	100	D	\$ 57.565	61,172.8198	D	
Common Stock	05/24/2016		S	1,325	D	\$ 57.57	59,847.8198	D	
Common Stock	05/24/2016		S	800	D	\$ 57.575	59,047.8198	D	
Common Stock	05/24/2016		S	1,500	D	\$ 57.58	57,547.8198	D	
Common Stock	05/24/2016		S	192	D	\$ 57.59	57,355.8198	D	
Common Stock	05/24/2016		S	300	D	\$ 57.61	57,055.8198	D	
Common Stock	05/24/2016		S	400	D	\$ 57.62	56,655.8198	D	
Common Stock	05/24/2016		S	300	D	\$ 57.63	56,355.8198	D	
Common Stock	05/24/2016		S	204	D	\$ 57.64	56,151.8198	D	
Common Stock	05/24/2016		S	207	D	\$ 57.65	55,944.8198	D	
Common Stock	05/24/2016		S	75	D	\$ 57.66	55,869.8198	D	
Common Stock	05/24/2016		S	817	D	\$ 57.6	55,052.8198	D	
Common Stock							239,9539	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
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(Instr. 3, 4,
and 5)

	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)			\$ 37.73	05/24/2016	M	9,607	01/01/2011 ⁽¹⁾ 01/01/2018	Common Stock	9,607
Stock Option (Right to Buy)			\$ 41.37	05/24/2016	M	4,162	01/01/2012 ⁽²⁾ 01/01/2019	Common Stock	4,162

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hagedorn Michael D 1010 GRAND BLVD. KANSAS CITY, MO 64106			President of Subsidiary	

Signatures

John Pauls, Attorney-in-fact for Mr. Hagedorn
05/25/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Options will vest 50% on 1/1/2011; 75% on 1/1/2012; and 100% on 1/1/2013

(2) Options will vest 50% on 1/1/2012, 75% on 1/1/2013, and 100% on 1/1/2014

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.