

CORNING INC /NY

Form 3

December 04, 2015

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Schlesinger Edward A

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

12/02/2015

3. Issuer Name **and** Ticker or Trading Symbol
CORNING INC /NY [GLW]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

ONE RIVERFRONT PLAZA

(Street)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Vice President & Controller

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person

CORNING,Â NYÂ 14831

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

19,470

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)Date
ExercisableExpiration
Date3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

Amount or
Number of4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Restricted Stock Unit	Â (1)	Â (1)	Common Stock	6,564	\$ (2)	D	Â
Restricted Stock Unit	Â (3)	Â (3)	Common Stock	4,203	\$ (2)	D	Â
Restricted Stock Unit	Â (4)	Â (4)	Common Stock	4,134	\$ (2)	D	Â
Stock Options (Right to Buy)	03/28/2016	03/28/2023	Common Stock	6,252	\$ 13.33	D	Â
Stock Options (Right to Buy)	04/30/2016	04/30/2023	Common Stock	5,747	\$ 14.5	D	Â
Stock Options (Right to Buy)	05/31/2016	05/31/2023	Common Stock	5,422	\$ 15.37	D	Â
Stock Options (Right to Buy)	03/31/2017	03/31/2024	Common Stock	2,101	\$ 20.82	D	Â
Stock Options (Right to Buy)	04/30/2017	04/30/2024	Common Stock	2,092	\$ 20.91	D	Â
Stock Options (Right to Buy)	05/30/2017	05/30/2024	Common Stock	2,054	\$ 21.3	D	Â
Stock Options (Right to Buy)	03/31/2018	03/31/2025	Common Stock	2,067	\$ 22.68	D	Â
Stock Options (Right to Buy)	04/30/2018	04/30/2025	Common Stock	2,240	\$ 20.93	D	Â
Stock Options (Right to Buy)	05/29/2018	05/29/2025	Common Stock	2,241	\$ 20.92	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Schlesinger Edward A ONE RIVERFRONT PLAZA CORNING, NY 14831	Â	Â	Â Vice President & Controller	Â

Signatures

Linda E. Jolly, Power of Attorney
12/04/2015

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Restricted Stock Units vest 100% on April 18, 2016. Vested shares will be delivered to the reporting person within thirty (30) days after April 18, 2016. Events such as retirement, death, disability, and others specified in the agreement may result in vesting prior to April 18, 2016.

(2) Each restricted stock unit represents a contingent right to receive one share of Corning Incorporated Common Stock.

The Restricted Stock Units vest 100% on April 17, 2017. Vested shares will be delivered to the reporting person within thirty (30) days after April 17, 2017. Events such as retirement, death, disability, and others specified in the agreement may result in vesting prior to April 17, 2017.

The Restricted Stock Units vest 100% on April 16, 2018. Vested shares will be delivered to the reporting person within thirty (30) days after April 16, 2018. Events such as retirement, death, disability, and others specified in the agreement may result in vesting prior to April 16, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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