Edgar Filing: WELLPOINT, INC - Form 3

WELLPOINT, INC Form 3 June 04, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement WELLPOINT, INC [WLP] A Haytaian Peter D (Month/Day/Year) 06/01/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 120 MONUMENT CIRCLE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person INDIANAPOLIS, ÂINÂ 46260 (give title below) (specify below) Form filed by More than One EVP & Pres., Govt. Bus. Div. Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 52,709 (1) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	•		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

Edgar Filing: WELLPOINT, INC - Form 3

				Shares		(I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(2)	09/28/2019	Common Stock	3,870	\$ 60.15	D	Â
Employee Stock Option (Right to Buy)	(3)	03/01/2020	Common Stock	7,523	\$ 61.88	D	Â
Employee Stock Option (Right to Buy)	(4)	03/03/2021	Common Stock	11,409	\$ 89.44	D	Â
Employee Stock Option (Right to Buy)	(5)	05/01/2021	Common Stock	10,127	\$ 100.77	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
Transfer and the same	Director	10% Owner	Officer	Other		
Haytaian Peter D 120 MONUMENT CIRCLE INDIANAPOLIS, IN 46260	Â	Â	EVP & Pres., Govt. Bus. Div.	Â		

Signatures

/s/ Kathleen S. Kiefer, Attorney in fact 06/0

06/04/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 43,082 restricted shares, which vest on various dates between December 1, 2014 and May 1, 2017.
- The option, representing a right to purchase a total of 3,870 shares, became exercisable in two equal annual installments of 967 shares each and two equal annual installments of 968 shares each beginning on December 31, 2012, which was the three-month anniversary of the date on which the option was granted.
- The option, representing a right to purchase a total of 7,523 shares, became exercisable in one installment of 1,253 shares and five equal (3) semi-annual installments of 1,254 shares each beginning on September 1, 2013, which was the six-month anniversary of the date on which the option was granted.
- The option, representing a right to purchase a total of 11,409 shares, is exercisable in three equal semi-annual installments of 1,901 shares (4) each and three equal semi-annual installments of 1,902 shares each beginning on September 3, 2014, which is the six-month anniversary of the date on which the option was granted.
- The option, representing a right to purchase a total of 10,127 shares, is exercisable in one installment of 1,687 shares and five equal (5) semi-annual installments of 1,688 shares each beginning on November 1, 2014, which is the six-month anniversary of the date on which the option was granted.

Â

Remarks:

Exhibit List: Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2