#### Edgar Filing: UNIVERSAL HEALTH SERVICES INC - Form 4

#### UNIVERSAL HEALTH SERVICES INC

Form 4

November 27, 2013

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

Number:

3235-0287

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January 31, 2005

0.5

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if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

MILLER ALAN B

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to

Issuer

UNIVERSAL HEALTH SERVICES

INC [UHS]

(Check all applicable)

Chairman and CEO

(Last) (First) (Middle)

(Month/Day/Year) 11/26/2013

X Director X\_ Officer (give title below)

X\_\_ 10% Owner Other (specify

UNIVERSAL HEALTH SERVICES, INC., 367 SOUTH **GULPH ROAD** 

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

3. Date of Earliest Transaction

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

KING OF PRUSSIA, PA 19406

(City)

(Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

(State)

Execution Date, if

(Month/Day/Year)

3. Code (Instr. 8)

4. Securities TransactionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4) (Instr. 4)

(A)

Reported Transaction(s)

Code V Amount (D) Price

(Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class A Common Stock	<u>(1)</u>	11/26/2013	J <u>(2)</u>		27,848	<u>(4)</u>	<u>(5)</u>	Class B Common Stock	27,848
Class A Common Stock	Ш	11/26/2013	J <u>(2)</u>	27,848		<u>(4)</u>	<u>(5)</u>	Class B Common Stock	27,848
Class A Common Stock	(1)	11/26/2013	J(2)		27,848	<u>(4)</u>	<u>(5)</u>	Class B Common Stock	27,848
Class A Common Stock	Ш	11/26/2013	J(2)	27,848		<u>(4)</u>	(5)	Class B Common Stock	27,848
Class A Common Stock	<u>(1)</u>	11/26/2013	J <u>(2)</u>		27,848	<u>(4)</u>	<u>(5)</u>	Class B Common Stock	27,848
Class A Common Stock	(1)	11/26/2013	J <u>(2)</u>	27,848		<u>(4)</u>	(5)	Class B Common Stock	27,848
Class A Common Stock	(1)	11/26/2013	J <u>(3)</u>		29,652	<u>(4)</u>	<u>(5)</u>	Class B Common Stock	29,652
Class A Common Stock	<u>(1)</u>	11/26/2013	J <u>(3)</u>		29,652	<u>(4)</u>	<u>(5)</u>	Class B Common Stock	29,652

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Class A Class B Common (1) 11/26/2013  $J_{\frac{(3)}{2}}$  29,652  $\frac{(4)}{2}$   $\frac{(5)}{2}$  Common 29,652 Stock

## **Reporting Owners**

KING OF PRUSSIA, PA 19406

Reporting Owner Name / Address

Director 10% Owner Officer Other

MILLER ALAN B

UNIVERSAL HEALTH SERVICES, INC. 367 SOUTH GULPH ROAD

Relationships

Other

# **Signatures**

/s/ Alan B.
Miller

\*\*Signature of Reporting Person

11/27/2013

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Class A Common Stock is convertible into Class B Common Stock on a share for share basis.
- On November 26, 2013, each of The Abby Miller King 2011 GRAT, The Marc Daniel Miller 2011 GRAT and The Marni Spencer 2011 (2) GRAT terminated and pursuant to its terms distributed 27,848 shares of Class A Common Stock to Alan B. Miller. The distribution resulted in a change of beneficial ownership from indirect to direct. Mr. Miller's pecuniary interest in these shares is unchanged.
- On November 26, 2013, each of The Abby Miller King 2011 GRAT, The Marc Daniel Miller 2011 GRAT and The Marni Spencer 2011 (3) GRAT terminated pursuant to its terms distributed 29,652 shares of Class A Common Stock to certain Trusts for the benefit of Mr. Miller's family. Mr. Miller has no beneficial or pecuniary interest in those trusts.
- (4) Immediately
- (5) Not Applicable

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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