

Duke Energy CORP  
 Form 3  
 September 06, 2013

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

^ Savoy Brian D  
 (Last) (First) (Middle)

550 S. TRYON STREET  
 (Street)

CHARLOTTE, ^ NC ^ 28202  
 (City) (State) (Zip)

2. Date of Event Requiring Statement  
 (Month/Day/Year)  
 09/01/2013

3. Issuer Name and Ticker or Trading Symbol  
 Duke Energy CORP [DUK]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer  Other  
 (give title below) (specify below)  
 EVP, Controller, Chief Acct Off

5. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock                    | 3,517 <sup>(1)</sup>                                  | D  | ^   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
|  | Date Exercisable   | Expiration Date   | Title  | Amount or Number of  |   |

Edgar Filing: Duke Energy CORP - Form 3

|                        |       |       |                 |        |        |                   |   |
|------------------------|-------|-------|-----------------|--------|--------|-------------------|---|
|                        |       |       |                 | Shares |        | (I)<br>(Instr. 5) |   |
| Executive Savings Plan | Â (2) | Â (3) | Common<br>Stock | 1,501  | \$ (4) | D                 | Â |

## Reporting Owners

| Reporting Owner Name / Address                              | Relationships |           |         |                                   |
|---|---------------|-----------|---------|-----------------------------------|
|   | Director      | 10% Owner | Officer | Other                             |
| Savoy Brian D<br>550 S. TRYON STREET<br>CHARLOTTE, NC 28202 | Â             | Â         | Â       | EVP, Controller, Chief Acct Off Â |

## Signatures

/s/ David S. Maltz, attorney-in-fact for Brian D. Savoy

09/06/2013

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Consists of 3,517 Restricted Stock Units.
- (2) Generally payable upon reporting person's termination of service.
- (3) Expiration date N/A
- (4) Converts to Common Stock on a 1-for-1 basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.