### Edgar Filing: UNIVERSAL HEALTH SERVICES INC - Form 4

#### UNIVERSAL HEALTH SERVICES INC

Form 4

August 26, 2013

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

HOTZ ROBERT H

Symbol

(Check all applicable)

UNIVERSAL HEALTH SERVICES

INC [UHS]

08/23/2013

(Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director Officer (give title

10% Owner Other (specify

HOULIHAN LOKEY HOWARD &

(Street)

(State)

(First)

**ZUKIN, 245 PARK AVENUE,** 20TH FLOOR

(City)

(Last)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

NEW YORK, NY 10167

| (City)                               | (State)                                 | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |        |           |             |  |  |   |
|--------------------------------------|---|--|---|--------|-----------|-------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                      | 3.<br>Transaction<br>Code<br>(Instr. 8) | , , ,  |           |             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|                                      |   |  | Code V                                  | Amount | or<br>(D) | Price       | (Instr. 3 and 4)   |  |   |
| Class B<br>Common<br>Stock           | 08/23/2013                              |  | M                                       | 11,250 | A         | \$<br>30.32 | 82,700   | D  |   |
| Class B<br>Common<br>Stock           | 08/23/2013                              |  | M                                       | 7,500  | A         | \$<br>43.67 | 90,200   | D  |   |
| Class B<br>Common<br>Stock           | 08/23/2013                              |  | M                                       | 3,750  | A         | \$<br>36.95 | 93,950   | D  |   |

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Class B Common

Stock

08/23/2013

F

11,589 D \$ 82,361

D

SEC 1474

(9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)    | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | CransactionDerivative Code Securities |        | 6. Date Exer<br>Expiration D<br>(Month/Day, | ate                | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|--|---|---|---|---------------------------------------|---------------------------------------|--------|---|--------------------|---|-------------------------------------|
|  |   |   |   | Code V                                | (A)                                   | (D)    | Date<br>Exercisable                         | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Option<br>To<br>Purchase<br>Class B<br>Common<br>Stock | \$ 30.32  | 08/23/2013                              |   | M                                     |                                       | 11,250 | (1)   | 01/20/2015         | Class B<br>Common<br>Stock                                    | 11,250                              |
| Option<br>To<br>Purchase<br>Class B<br>Common<br>Stock | \$ 43.67  | 08/23/2013                              |   | M                                     |                                       | 7,500  | (2)   | 01/19/2016         | Class B<br>Common<br>Stock                                    | 7,500                               |
| Option<br>To<br>Purchase<br>Class B<br>Common<br>Stock | \$ 36.95  | 08/23/2013                              |   | M                                     |                                       | 3,750  | (3)   | 01/18/2017         | Class B<br>Common<br>Stock                                    | 3,750                               |

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

2 Reporting Owners

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X

HOTZ ROBERT H
HOULIHAN LOKEY HOWARD & ZUKIN
245 PARK AVENUE, 20TH FLOOR
NEW YORK, NY 10167

## **Signatures**

/s/ Steve Filton, Attorney-in-Fact for Mr. Hotz

08/26/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vested on 1/20/2013.
- (2) The option vested ratably on each of 1/19/2012 and 1/19/2013.
- (3) The option vested on 1/18/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3