### Edgar Filing: SANCHEZ ANTONIO R JR - Form 4

SANCHEZ Form 4 March 05, 2	ANTONIO R JR	Ū	Ū								
FORM	ЛЛ									B APPROVAL	
	UNITED	STATES		RITIES A			NGE	COMMISSIO	N OMB Number	3235-0287	
Check the check	nger			U					Expires	January 31,	
if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIESExpires.STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF 										ed average hours per	
(Print or Type	Responses)										
	Address of Reporting Z ANTONIO R JR	-	Symbol	er Name <b>an</b> ez Energy			-	5. Relationship Issuer			
(Last)	(First) (	Middle)	3. Date of	of Earliest T	ransaction			(Check all applicable)			
1000 MAI	Е 3000	(Month/1 03/01/2	Day/Year) 2019				_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) Executive Chairman of the BOD				
UQUETON	4. If Amendment, Date Original Filed(Month/Day/Year)					<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>					
	N, TX 77002							Person		1 0	
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative	Secur	rities Ac	quired, Disposed	of, or Benefi	cially Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deen Execution any (Month/D	3. Transactic Code (Instr. 8) Code V	4. Securit or(A) or Dis (Instr. 3, 4)	sposed	l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	03/01/2019			M	49,047	A	<u>(1)</u>	2,312,804	D		
Common Stock	03/01/2019			D	49,047	D	\$ 0.26	2,263,757	D		
Common Stock	03/01/2019			F	19,300	D	\$ 0.26	2,244,457	D		
Common Stock								799,472	I	By Sanchez Oil & Gas Corporation (2)	
								175,036	Ι		

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Common Stock			By 1988 Trust No. 13 (3)
Common Stock	26,213	I	By Alicia M. Sanchez Charitable Lead Annuity Trust ( <u>4)</u>
Common Stock	707,333	Ι	By Sanexco, Ltd. <u>(5)</u>
Common Stock	371,836	Ι	By 1988 Trust No. 11 (3)
Common Stock	371,836	Ι	By 1988 Trust No. 12 (3)
Common Stock	371,836	Ι	By 1988 Trust No. 14 $(3)$
Common Stock	707,333	Ι	By San Juan Oil & Gas No. 2, Ltd. (6)
Common Stock	474,800	Ι	By AEP Ltd. Partnership

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.			ve es d (A) osed of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securit (Instr. 3 and 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Num

Phantom Stock	<u>(1)</u>	03/01/2019		Ŋ	М	49,047	03/01/2019(8)	03/01/2019(8)	Common Stock	49,	
Reporting Owners											
Reporting Owner Name / Addre		e / Address	ress Relationships								
			Director	10% Owner	Officer			Other			
SANCHEZ ANTONIO R JR 1000 MAIN STREET, SUITE 3000 X HOUSTON, TX 77002				Executiv							
Signatu	res										
/s/ Antonio I Sanchez, Jr.	R.	03/0	5/2019								

## **Explanation of Responses:**

**\*\***Signature of Reporting

Person

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of phantom stock is the economic equivalent of one share of the common stock, par value \$0.01 per share. The Reporting Person settled his shares of phantom stock for cash.

These shares are owned directly by Sanchez Oil & Gas Corporation ("SOG"). SOG is managed by Reporting Person and other members of the Sanchez family. Reporting Person may be deemed to share voting and dispositive power over the shares held by SOG. Reporting

(2) Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership by Reporting Person of the reported securities for purposes of Section 16 or any other purpose.

These shares are owned directly by the following trusts (the "Trusts"), respectively: (i) 1988 Trust No. 11: co-trustee/beneficiary Antonio R. Sanchez, III; (ii) 1988 Trust No. 12: co-trustee/beneficiary Ana Lee Sanchez Jacobs; (iii) 1988 Trust No. 13 co-trustee/beneficiary Eduardo Sanchez; and (iv) 1988 Trust No. 14 co-trustee/beneficiary Patricio Sanchez. Reporting Person is a co-trustee, along with the

(3) respective co-trustees and beneficiaries listed next to the name of the Trust above, of each of the Trusts set forth above. Reporting Person may be deemed to share voting and dispositive power over the shares held by the Trusts. Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership by Reporting Person of the reported securities for purposes of Section 16 or any other purpose.

These shares are owned directly by the Alicia M. Sanchez Charitable Lead Annuity Trust ("CLAT"). Reporting Person is the sole trustee of CLAT. Reporting Person disclaims beneficial ownership of the reported securities except to the extent of the pecuniary interest therein,

(4) of CELTT Reporting reason dischards beneficial ownership of the reported securities except to the except of the reported securities in this report shall not be deemed an admission of beneficial ownership by Reporting Person of the reported securities for purposes of Section 16 or any other purpose.

These shares are owned directly by Sanexco, Ltd. ("Sanexco"). Sanexco is controlled by its general partner, Sanchez Management Corporation ("SMC"), which is managed by Reporting Person. Reporting Person may be deemed to share voting and dispositive power

(5) over the shares held by Sanexco. Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership by Reporting Person of the reported securities for purposes of Section 16 or any other purpose.

These shares are owned directly by San Juan Oil & Gas No. 2, Ltd. ("San Juan"). San Juan is controlled by its general partner, SMC, which is managed by Reporting Person. Reporting Person may be deemed to share voting and dispositive power over the shares held by

(6) San Juan. Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership by Reporting Person of the reported securities for purposes of Section 16 or any other purpose.

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These shares are owned directly by AEP Ltd. Partnership ("AEP"). AEP is controlled by its general partner, Reporting Person. Reporting Person may be deemed to share voting and dispositive power over the shares held by AEP. Reporting Person disclaims beneficial

- (7) ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership by Reporting Person of the reported securities for purposes of Section 16 or any other purpose.
- (8) Phantom stock is payable only in cash, which vests in equal annual increments over a five-year period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.