Edgar Filing: Billings Paul - Form 4

Billings Paul											
Form 4											
September 06	5, 2017										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							т	OMB APPROVAL			
UNITED STATES SECURI.									OMB Number:	3235-0287	
Check this	s box	Washington, D.C. 20549								January 31,	
if no long	CHANGES IN BENEFICIAL OW				LOW	NERSHIP OF	Expires:	2005			
subject to Section 10									Estimated a burden hou	•	
Form 4 or									response	•	
Form 5 obligation	· ·	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,									
may conti				•	U			f 1935 or Sectio	n		
See Instru	ction	30(n) o	of the Inv	vestment (ompany	Act	OI 194	40			
1(b).											
(Print or Type R	lesponses)										
				Name and Ticker or Trading				5. Relationship of Reporting Person(s) to			
•			Symbol	X (7				Issuer			
			Trovagene, Inc. [TROV]					(Check all applicable)			
(Last)	(First) (M				Earliest Transaction						
(Month/D: C/O TROVAGENE, INC., 11055 09/02/20			•			X_ Director 10% Owner Officer (give title Other (specify					
FLINTKOT		055 (09/02/20)1/				below)	below)		
			4. If Amer	. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check			
			Filed(Mont					Applicable Line)			
SAN DIEGO	D, CA 92121							_X_ Form filed by 0 Form filed by N Person			
(City)	(State) (Zip)	Table	I New Da		• • • • •	•••••	and Discound a	f an Danafiaial		
	. , , .						lies Aco	quired, Disposed o		-	
1.Title of Security	2. Transaction Date (Month/Day/Year)	 2A. Deem Execution 					r	SecuritiesHBeneficially(OwnedH	(D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
(Instr. 3)	(111011111224), 1041)	any	. 2, 11	CodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5)							
		(Month/D	ay/Year)								
					<i>(</i> 1)		Reported	msu. 4)	(1150.4)		
						(A) or		Transaction(s)			
				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	09/02/2017			M <u>(1)</u>	6,498	А	\$0	6,498	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Billings Paul - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(2)</u>	09/02/2017		М	6,498	(3)	(3)	Common Stock	6,498	\$

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Billings Paul C/O TROVAGENE, INC. 11055 FLINTKOTE AVENUE SAN DIEGO, CA 92121	X							
Signatures								
/s/ Paul Billings 09/	/06/2017							
**Signature of Reporting Person	Date							

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents the conversion upon vesting of restricted stock units into common stock (the "Converted Common Stock"). On January 2,
(1) 2017, the reporting person was granted 6,498 restricted stock units, all of which vested on September 2, 2017. Such restricted stock units were previously reported in Table II on a Form 4 filed with the Securities and Exchange Commission on January 5, 2017.

- (2) Each restricted stock unit is the economic equivalent of one share of Trovagene, Inc. common stock.
- (3) On January 2, 2017, the reporting person was granted 6,498 restricted stock units, all of which vested on September 2, 2017. The common stock into which such vested restricted stock units converted on September 2, 2017 is reported in Table I on this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.