TEKLA HEALTHCARE INVESTORS Form N-PX August 29, 2016

# **UNITED STATES** SECURITIES AND EXCHANGE Expires: March 31, 2018 **COMMISSION**

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Washington, D.C. 20549

## **FORM N-PX**

## ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

**Investment Company Act file number 811-04889** 

## **Tekla Healthcare Investors**

(Exact name of registrant as specified in charter)

100 Federal Street, 19th Floor, Boston, MA

(Address of principal executive offices)

02110 (Zip code)

Laura Woodward

**Tekla Healthcare Investors** 

100 Federal Street, 19th Floor, Boston MA 02110

(Name and address of agent for service)

Registrant s telephone number, including area code: 617-772-8500

Date of fiscal year end: September 30

Date of reporting period: 7/1/15-6/30/16

Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (ss.ss.239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant s proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget (OMB) control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549-0609. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. ss. 3507.

Item 1. Proxy Voting Record.

#### Vote Summary

#### ACADIA PHARMACEUTICALS INC.

Security004225108Meeting TypeAnnualTicker SymbolACADMeeting Date10-Jun-2016Record Date22-Apr-2016

Item	Proposal	Proposed by	Vote	For/Against Management
1	DIRECTOR	Management		
	1 LAURA BREGE		For	For
	2 STEPHEN DAVIS		For	For
2	TO APPROVE AN AMENDMENT TO OUR 2004 EMPLOYEE STOCK PURCHASE PLAN TO, AMONG OTHER THINGS, INCREASE THE AGGREGATE NUMBER OF SHARES OF COMMON STOCK AUTHORIZED FOR ISSUANCE UNDER THE PLAN BY 400,000 SHARES.	Management	For	For
3	TO APPROVE AN AMENDMENT TO OUR 2010 EQUITY INCENTIVE PLAN, AS AMENDED, TO, AMONG OTHER THINGS, INCREASE THE AGGREGATE NUMBER OF SHARES OF COMMON STOCK AUTHORIZED FOR ISSUANCE UNDER THE PLAN BY 3,000,000 SHARES.	Management	For	For
4	TO APPROVE, ON AN ADVISORY BASIS, OUR EXECUTIVE COMPENSATION.	Management	For	For
5	TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For

#### **AETNA INC.**

Security00817Y108Meeting TypeSpecialTicker SymbolAETMeeting Date19-Oct-2015Record Date16-Sep-2015

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO APPROVE THE ISSUANCE OF AETNA INC. COMMON SHARES, PAR VALUE \$0.01 PER SHARE ( AETNA COMMON SHARES ), TO HUMANA INC. STOCKHOLDERS IN THE MERGER BETWEEN ECHO MERGER SUB, INC., A DELAWARE CORPORATION AND WHOLLY OWNED SUBSIDIARY OF AETNA INC., AND HUMANA INC. PURSUANT TO THE AGREEMENT AND PLAN OF MERGER, DATED AS OF JULY 2, 2015, AMONG AETNA INC., ECHO MERGER SUB, INC., ECHO MERGER SUB, LLC, A DELAWARE LIMITED LIABILITY COMPANY AND	Management	For	For

	WHOLLY OWNED SUBSIDIARY OF AETNA INC., AND HUMANA INC., AS IT MAY BE AMENDED FROM TIME TO TIME (THE MERGER AGREEMENT	).		
2	TO APPROVE THE ADJOURNMENT OF THE SPECIAL MEETING OF SHAREHOLDERS OF AETNA INC. IF NECESSARY TO SOLICIT ADDITIONAL PROXIES IF THERE ARE NOT SUFFICIENT VOTES TO APPROVE THE ISSUANCE OF AETNA COMMON SHARES PURSUANT TO THE MERGER AGREEMENT AT THE TIME OF THE SPECIAL MEETING OF SHAREHOLDERS OF AETNA INC.	Management	For	For

## AGILENT TECHNOLOGIES, INC.

Security00846U101Meeting TypeAnnualTicker SymbolAMeeting Date16-Mar-2016Record Date19-Jan-2016

Item	Proposal	Proposed by	Vote	For/Against Management
1A	ELECTION OF DIRECTOR TO A 3-YEAR TERM: PAUL N. CLARK	Management	For	For
1B	ELECTION OF DIRECTOR TO A 3-YEAR TERM: JAMES G. CULLEN	Management	For	For
1C	ELECTION OF DIRECTOR TO A 3-YEAR TERM: TADATAKA YAMADA, M.D.	Management	For	For
2	TO RATIFY THE AUDIT AND FINANCE COMMITTEE S APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS AGILENT S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For
3	TO APPROVE, ON A NON-BINDING ADVISORY BASIS, THE COMPENSATION OF AGILENT S NAMED EXECUTIVE OFFICERS.	Management	For	For
4	TO APPROVE AMENDMENTS TO OUR AMENDED AND RESTATED CERTIFICATE OF INCORPORATION AND BYLAWS TO DECLASSIFY THE BOARD.	Management	For	For

#### AKORN, INC.

Security009728106Meeting TypeAnnualTicker SymbolAKRXMeeting Date01-Jul-2016Record Date11-May-2016

		Proposed		For/Against
Item	Proposal	by	Vote	Management
1	DIRECTOR	Management		
	1 JOHN KAPOOR, PHD		For	For
	2 KENNETH ABRAMOWITZ		For	For
	3 ADRIENNE GRAVES, PHD		For	For
	4 RONALD JOHNSON		For	For
	5 STEVEN MEYER		For	For
	6 TERRY ALLISON RAPPUHN		For	For
	7 BRIAN TAMBI		For	For
	8 ALAN WEINSTEIN		For	For
2	PROPOSAL TO RATIFY THE APPOINTMENT OF BDO USA, LLP AS THE COMPANY S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR YEAR ENDING DECEMBER 31, 2016.	Management	For	For
3	PROPOSAL TO APPROVE, THROUGH A NON-BINDING ADVISORY VOTE, THE COMPANY S EXECUTIVE COMPENSATION PROGRAM AS DESCRIBED IN THE COMPANY S 2016 PROXY STATEMENT.	Management	For	For

#### ALERE INC.

Security01449J105Meeting TypeAnnualTicker SymbolALRMeeting Date22-Jul-2015Record Date05-Jun-2015

Item	Proposal	Proposed by	Vote	For/Against Management
1A	ELECTION OF DIRECTOR: GREGG J. POWERS	Management	For	For
1B	ELECTION OF DIRECTOR: HAKAN BJORKLUND, PHD.	Management	For	For
1C	ELECTION OF DIRECTOR: GEOFFREY S. GINSBURG	Management	For	For
1D	ELECTION OF DIRECTOR: CAROL R. GOLDBERG	Management	For	For
1E	ELECTION OF DIRECTOR: JOHN F. LEVY	Management	For	For
1F	ELECTION OF DIRECTOR: BRIAN A. MARKISON	Management	For	For
1G	ELECTION OF DIRECTOR: SIR THOMAS F. WILSON	Management	For	For
1H	ELECTION OF DIRECTOR: JOHN A. QUELCH	Management	For	For
1I	ELECTION OF DIRECTOR: JAMES ROOSEVELT, JR.	Management	For	For
1J	ELECTION OF DIRECTOR: NAMAL NAWANA	_		