Edgar Filing: Amphastar Pharmaceuticals, Inc. - Form 4

Amphastar Pharmaceuticals, Inc. Form 4 August 16, 2016

Common

Common

Common

Common

Stock

Stock

Stock

Stock

08/12/2016

08/12/2016

08/12/2016

08/15/2016

August 16, 201	6											
FORM	Δ							OMB AF	PROVAL			
-	UNITED	STATES		RITIES ANI Ishington, D.		ANGE (COMMISSION	OMB Number:	3235-0287			
Check this box if no longer								Expires:	January 31, 2005			
STATEMENT OF CHANGES IN BENEFICIAL OWNERS Section 16. Form 4 or								Estimated a burden hour response	verage			
Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19401(b).30(h) of the Investment Company Act of 1940												
(Print or Type Res	ponses)											
ZASLOFF MICHAEL A Symbol Amph			Symbol	ymbol I			5. Relationship of I Issuer	5. Relationship of Reporting Person(s) to ssuer				
			Ampha [AMPI	istar Pharmac H]	euticals, I	nc.	(Check all applicable)					
(Last) C/O AMPHAS PHARMACE INC., 11570 6	STAR UTICALS,	(Middle)		of Earliest Trans Day/Year) 2016	action		_X_ Director Officer (give t below)		Owner r (specify			
			l(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person						
RANCHO CUCAMONG	A, CA 91730						Form filed by M Person	ore than One Re	porting			
(City)	(State)	(Zip)	Tał	ole I - Non-Deri	vative Secu	rities Acq	uired, Disposed of,	or Beneficial	ly Owned			
	Transaction Date Ionth/Day/Year)	2A. Deem Execution any (Month/Da	Date, if	Transaction I	(A) or	(D)	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			

Μ

Μ

S

Μ

10,381 A

20,594 A

30,975 D

\$ 15.23

\$ 14.23

18.1157 32,411

\$

(1)

17,400 A \$14.23 49,811

42,792

63,386

D

D

D

D

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Common					\$		
Common	08/15/2016	S	17,400	D	18.2168	32,411	D
Stock					(2)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(<i>e.g.</i> , puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of ctiorDerivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ve Expiration Date (Month/Day/Year) d (A) sed of		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 15.23	08/12/2016		М		10,381	<u>(3)</u>	10/03/2016	Common Stock	10,381
Stock Option (right to buy)	\$ 14.23	08/12/2016		М		20,594	(3)	12/10/2016	Common Stock	20,594
Stock Option (right to buy)	\$ 14.23	08/15/2016		М		17,400	(3)	12/10/2016	Common Stock	17,400

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
ZASLOFF MICHAEL A C/O AMPHASTAR PHARMACEUTICALS, INC. 11570 6TH STREET RANCHO CUCAMONGA, CA 91730	Х					

8 E S ()

Signatures

/s/ Ken Stupak, by power of 08/15/2016 attorney

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$18.00

- (1) to \$18.45, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes (1) and (2) to this Form 4.
- (2) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$18.00 to \$18.39, inclusive.
- (3) Shares subject to the option are fully vested and immediately exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.