

PIPER JAFFRAY COMPANIES
Form 15-12B
January 14, 2014

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 15

CERTIFICATION AND NOTICE OF TERMINATION OF REGISTRATION UNDER SECTION 12(g) OF THE SECURITIES EXCHANGE ACT OF 1934 OR SUSPENSION OF DUTY TO FILE REPORTS UNDER SECTIONS 13 AND 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934.

Commission File Number **1-31720**

PIPER JAFFRAY COMPANIES

(Exact name of registrant as specified in its charter)

800 Nicollet Mall, Suite 1000

Minneapolis, Minnesota 55402

1-800-333-6000

(Address, including zip code, and telephone number, including area code, of registrant's principal executive offices)

Preferred Share Purchase Rights (1)

(Title of each class of securities covered by this Form)

Common Stock, par value \$0.01 per share

(Titles of all other classes of securities for which a duty to file reports under section 13(a) or 15(d) remains)

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Please place an X in the box(es) to designate the appropriate rule provision(s) relied upon to terminate or suspend the duty to file reports:

| | |
|----------------------|-------------------------------------|
| Rule 12g-4(a)(1) | <input checked="" type="checkbox"/> |
| Rule 12g-4(a)(2) | <input type="checkbox"/> |
| Rule 12h-3(b)(1)(i) | <input type="checkbox"/> |
| Rule 12h-3(b)(1)(ii) | <input type="checkbox"/> |
| Rule 15d-6 | <input checked="" type="checkbox"/> |

Approximate number of holders of record as of the certification or notice date: None

Pursuant to the requirements of the Securities Exchange Act of 1934, Piper Jaffray Companies has caused this certification/notice to be signed on its behalf by the undersigned duly authorized person.

Date: **January 13, 2014**

By: */s/ John W. Geelan*
John W. Geelan
General Counsel and Secretary

(1) The Preferred Share Purchase Rights (the Rights) expired on December 31, 2013 pursuant to the terms of the Rights Agreement, dated as of December 31, 2003, between Piper Jaffray Companies and Computershare Trust Company, N.A. , successor Rights Agent to Mellon Investor Services, LLC.

Instruction: This form is required by Rules 12g-4, 12h-3 and 15d-6 of the General Rules and Regulations under the Securities Exchange Act of 1934. The registrant shall file with the Commission three copies of Form 15, one of which shall be manually signed. It may be signed by an officer of the registrant, by counsel or by any other duly authorized person. The name and title of the person signing the form shall be typed or printed under the signature.
