

Edgar Filing: RED HAT INC - Form 4

RED HAT INC
Form 4
September 05, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person*

| | | |
|---------------------------------------|---------|----------|
| Buckley | Timothy | |
| ----- | ----- | ----- |
| (Last) | (First) | (Middle) |
| c/o Red Hat, Inc., 1801 Varsity Drive | | |
| ----- | | |
| (Street) | | |
| Raleigh | NC | 27606 |
| ----- | ----- | ----- |
| (City) | (State) | (Zip) |

2. Issuer Name and Ticker or Trading Symbol

Red Hat, Inc. (RHAT)

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

September 3-4, 2002

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer
(Check all applicable)

| | |
|--|--|
| <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| <input checked="" type="checkbox"/> Officer (give title below) | <input type="checkbox"/> Other (specify below) |

EVP & Chief Operating Officer

7. Individual or Joint/Group Filing (Check applicable line)

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Form filed by one Reporting Person
 Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (mm/dd/yy) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | Price |
|--|---|---|---|--|------------------|----------|
| | | Code | V | Amount | (A) or (D) | |
| Common Stock, \$.0001 par value per share | 9/03/02 | S | | 3,000 | D | \$4.6123 |
| Common Stock, \$.0001 par value per share | 9/04/02 | S | | 3,000 | D | \$4.798 |

* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

(Over)

(Form 4-07/99)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | Conversion or Exer- cise Price of | 3. Trans- action Date (Month/ Day/ Year) | 4. Trans- action Code (Instr. 8) ----- Code V | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Date | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares |
|--|--|--|--|--|---|--|
|--|--|--|--|--|---|--|

Explanation of Responses:

- (1) Reflects shares held in a joint account in the name of Timothy J. Buckley and Frances A. Buckley. The Reporting Person disclaims beneficial ownership of all such shares, except to the extent of his pecuniary interest therein.
- (2) All Red Hat stock sales reported herein were effected pursuant to Rule 10b5-1 trading plan, which was effective December 21, 2001.

/s/ Timothy Buckley

September 5, 2002

**Signature of Reporting Person

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

