

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

ALLIANT ENERGY CORP
Form U-9C-3
March 24, 2004

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT

For the quarter ended December 31, 2003

Filed Pursuant to Rule 58 of the Public Utility Holding Company
Act of 1935

ALLIANT ENERGY CORPORATION
4902 N. Biltmore Lane
Madison, Wisconsin 53718-2132
608-458-3311

ALLIANT ENERGY CORPORATION
FORM U-9C-3
For the Quarter Ended December 31, 2003

CONTENTS

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

ITEM 1 - Organization Chart

ITEM 2 - Issuances and Renewals of Securities and Capital Contributions

ITEM 3 - Associate Transactions

ITEM 4 - Summary of Aggregate Investment

ITEM 5 - Other Investments

ITEM 6 - Financial Statements and Exhibits

SIGNATURES

EXHIBIT A

2

ITEM 1 - ORGANIZATION CHART

Omitted for the fourth quarter pursuant to instructions for "Item 1."

ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS:
Investment level at December 31, 2003

Company Issuing Security	Type of Security Issued	Principal Amount of Security (in thousands)	
ADI Thermal Power Corporation	Common stock	\$200	Alliant En
Alliant Energy Desdemona, LP	Partnership capital	\$1,420	Industrial
	Partnership capital	\$1	Alliant En
Alliant Energy Integrated Services - Energy Management LLC	Money pool borrowings	\$11,816	Alliant En
Alliant Energy Integrated Services - Energy Solutions LLC	Money pool borrowings	\$5,269	Alliant En
Alliant South Texas Pipeline, LP	Partnership capital	\$4,541	Industrial
	Partnership capital	\$7	Alliant En
American Superconductor Corporation	Common stock	\$963	Alliant En
AnAerobics, Inc.	Series A preferred stock	\$2,000	Alliant En
AstroPower, Inc.	Common stock	\$497	Alliant En
BFC Gas Company LLC	Capital	\$1,975	Industrial
CellTech Power, Inc.	Series A preferred stock	\$252	Alliant En
Cogenex Corporation (Incl. subsidiaries)	Money pool borrowings	\$81,380	Alliant En
Dais Analytic Corporation	Common stock	\$90	Wisconsin
Distributed Energy Systems Corp. (f/k/a Proton Energy Systems, Inc.)	Common stock	\$499	Alliant En
	Common stock	\$53	AER Holdin
Distribution Vison 2010, LLC	Capital	\$100	Alliant En
Energy Performance Services, Inc.	Money pool borrowings	\$16,643	Alliant En
Heartland Energy Group, Inc.	Common stock	\$5,011	Alliant En

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

Industrial Energy Applications, Inc.	Money pool borrowings	\$39,251	Alliant En
	Common stock	\$21,060	Alliant En
New River Synfuel LLC	Money pool borrowings	\$19,146	Alliant En
Nth Power Technologies Fund II, LP	Capital	\$37,407	Alpha Synf
Oak Hill Pipeline LP	Partnership capital	\$7,500	Alliant En
ReGENco LLC	Partnership capital	\$948	Industrial
	Class A units	\$1,084	Heartland
	Class B units	\$833	Heartland
RMT, Inc. (Incl. subsidiaries)	Common stock	\$11,822	Alliant En
STM Power, Inc.	Series A preferred stock	\$2,500	Alliant En
TRANSLink Development Company LLC	Capital	\$288	Alliant En
	Loan	\$2,186	Alliant En
Williams Bulk Transfer Inc.	Common stock	\$1	Alliant En
	Money pool borrowings	\$4,475	Alliant En

(1) Associate companies.

3

ITEM 3 - ASSOCIATE TRANSACTIONS

For the Quarter Ended December 31, 2003

Part I -- Transactions performed by reporting companies on behalf of associate companies (a):

Reporting Company Rendering Services	Associate Company Receiving Services	Types of Services Rendered	Direct Costs Charged (in thousands)	Indirect Costs Charged (in thousand
RMT	WP&L	Environmental consulting	\$883	\$-
RMT	IEA	Environmental consulting	33	-
RMT	IP&L	Environmental consulting	896	-
RMT	Resources	Environmental consulting	38	-
RMT	Barge	Environmental consulting	3	-
RMT	AEG	Environmental consulting	52	-
ReGENco	IP&L	Integrated turbine & generator services	154	-
ReGENco	WP&L	Integrated turbine & generator services	1	-
ReGENco	AEG	Storage lease, handling & maintenance	119	-

Part II -- Transactions performed by associate companies on behalf of reporting companies (a):

Associate Company Rendering Services	Reporting Company Receiving Services	Types of Services Rendered	Direct Costs Charged (in thousands)	Indirect Costs Charged (in thousands) (in
RMT	IEA	Environmental consulting	\$33	\$-

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

(a) The following abbreviations were used:

Abbreviation	Legal Name	Abbreviation	Legal Name
AEG	AEG Worldwide, Inc.	ReGENco	ReGENco LLC
Barge	IEI Barge Services, Inc.	Resources	Alliant Energy
IEA	Industrial Energy Applications, Inc.	RMT	RMT, Inc.
IP&L	Interstate Power and Light Company	WP&L	Wisconsin Pow

4

ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT
(Dollars in thousands)

Investments in energy-related companies:

Total consolidated capitalization as of December 31, 2003 (a)	\$4,991,
Total capitalization multiplied by 15% (Line 1 multiplied by 0.15)	748,
Greater of \$50 million or total capitalization multiplied by 15% (Line 2)	748,
Total current aggregate investment subsequent to April 21, 1998 (categorized by major line of energy-related business):	
Energy-related business category i	115,
Energy-related business category ii	15,
Energy-related business category iii	
Energy-related business category iv	
Energy-related business category v	40,
Energy-related business category vi	46,
Energy-related business category vii	5,
Energy-related business category viii	20,
Energy-related business category ix	12,
Energy-related business category x	
Total current aggregate investment	256,
Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (Line 3 less Line 4)	\$492,

Investments in gas-related companies:

Total current aggregate investment (categorized by major line of

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

gas-related business):

Gas-related business category i	\$-
Gas-related business category ii	-

Total current aggregate investment	\$-

(a) Includes common equity, cumulative preferred stock of subsidiaries, long-term debt, current maturities and sinking funds and short-term debt (variable rate demand bonds, commercial paper and other short-term borrowings).

(b) Represents \$20,918,000 of payments to purchase generation equipment that Alliant Energy intends to use to develop a qualifying facility.

5

ITEM 5 - OTHER INVESTMENTS
(Dollars in thousands)

Major Line Of Energy-Related Business	Other Investment In Last U-9C-3 Report	Other Investment In This U-9C-3 Report	Reason In
Energy-related business category i* Industrial Energy Applications, Inc.	\$40,533	\$40,205	In the fourth quarter of 2013, Industrial Energy Applications, Inc. made a distribution of \$40,205 in borrowings to Alliant Energy Corporation.
Energy-related business category v* Heartland Energy Group, Inc.	\$3,289	\$3,289	No change.
Energy-related business category vi* BFC Gas Company LLC	\$1,975	\$1,975	No change.
Energy-related business category vii* RMT, Inc. (including subsidiaries)	\$11,822	\$11,822	No change.
Energy-related business category ix* Oak Hill Pipeline LP	\$1,146	\$948	In the fourth quarter of 2013, Oak Hill Pipeline LP made a distribution of \$948 to Alliant Energy Applications, Inc.

* Item 5 includes aggregate investments that were invested or committed to be invested in energy-related companies, prior to the date Rule 58 was effective for Alliant Energy Corporation (April 21, 1998 - Date Alliant Energy Corporation became a registered holding company), for which there is recourse, directly or indirectly, to Alliant Energy Corporation or any subsidiary thereof.

ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

List all financial statements and exhibits filed as a part of this report.

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

A. FINANCIAL STATEMENTS:

Omitted for the fourth quarter pursuant to instructions for "Item 6."

B. EXHIBITS:

1. Exhibit A - Certificate of Alliant Energy Corporation
2. Copies of contracts required by Item 3:
None

SIGNATURES

Pursuant to the requirements of the Public Utility Holding Company Act of 1935, Alliant Energy Corporation has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized on the 24th day of March 2004.

ALLIANT ENERGY CORPORATION

Registrant

By: /s/ John E. Kratchmer

John E. Kratchmer

Vice President-Controller and Chief Accounti

(Principal Accounting Officer)

6

Exhibit A

ALLIANT ENERGY CORPORATION
4902 N. Biltmore Lane, Madison, Wisconsin 53718-2132

CERTIFICATE

Pursuant to the requirements of Rule 58 of the Public Utility Holding Company Act of 1935, the undersigned certifies that a conformed copy of the quarterly report on Form U-9C-3 shall be filed with the interested state commissions promptly after filing with the SEC. The names and addresses of each state commission having jurisdiction over the retail rates of the public utility companies that are associate companies of any of the reporting companies are:

Iowa Utilities Board
350 Maple Street
Des Moines, Iowa 50319-0069

Illinois Commerce Commission
527 E. Capitol Avenue
Springfield, Illinois 62701

Minnesota Public Utilities Commission
121 7th Place E. Suite 350
St. Paul, MN 55101-2147

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

Public Service Commission of Wisconsin
610 North Whitney Way, P.O. Box 7854
Madison, Wisconsin 53707-7854

ALLIANT ENERGY CORPORATION

Registrant

By: /s/ John E. Kratchmer

John E. Kratchmer

Vice President-Controller and Chief Accounti

(Principal Accounting Officer)