

SHOVLIN ROBERT J.

Form 4

February 28, 2019

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SHOVLIN ROBERT J.

(Last) (First) (Middle)

12701 COMMONWEALTH DRIVE  
SUITE 9

(Street)

FORT MYERS, FL 33913

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
NEOGENOMICS INC [NEO]

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/26/2019

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_\_ Other (specify  
below) below)

President, Clinical Services

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 02/26/2019                              |                                                             | M                                    | 119,348                                                                 | A \$ 7.15 242,739                                                                                                  | D                                                                       |                                                                   |
| Common<br>Stock                       | 02/26/2019                              |                                                             | M                                    | 66,666                                                                  | A \$ 7.52 309,405                                                                                                  | D                                                                       |                                                                   |
| Common<br>Stock                       | 02/26/2019                              |                                                             | S                                    | 141,879                                                                 | D \$ 18.88 167,526                                                                                                 | D                                                                       |                                                                   |
| Common<br>Stock                       | 02/27/2019                              |                                                             | S                                    | 44,135                                                                  | D \$ 19.23 123,391                                                                                                 | D                                                                       |                                                                   |
| Common<br>Stock                       | 02/28/2019                              |                                                             | M                                    | 13,986                                                                  | A \$ 7.15 137,377                                                                                                  | D                                                                       |                                                                   |

# Edgar Filing: SHOVLIN ROBERT J. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and<br>5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount<br>Underlying Securities<br>(Instr. 3 and 4) |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|------------------------------------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                 | Date Exercisable<br>Expiration Date                            | Title<br>Amount<br>Number<br>Shares                              |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 7.15                                                            | 02/26/2019                              |                                                             | M                                    | 119,348                                                                                                   | 04/20/2017 <sup>(1)</sup> 04/20/2021                           | Common<br>Stock 119,3                                            |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 7.15                                                            | 02/28/2019                              |                                                             | M                                    | 13,986                                                                                                    | 04/20/2017 <sup>(1)</sup> 04/20/2021                           | Common<br>Stock 13,9                                             |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 7.52                                                            | 02/26/2019                              |                                                             | M                                    | 66,666                                                                                                    | 04/28/2018 <sup>(2)</sup> 04/28/2022                           | Common<br>Stock 66,6                                             |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 8.03                                                            |                                         |                                                             |                                      |                                                                                                           | 02/26/2019 <sup>(3)</sup> 02/26/2023                           | Common<br>Stock 288,4                                            |

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

SHOVLIN ROBERT J.  
12701 COMMONWEALTH DRIVE SUITE 9  
FORT MYERS, FL 33913

President, Clinical Services

## Signatures

/s/ Robert J.  
Shovlin 02/28/2019

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On April 20, 2016, Mr. Shovlin was granted 200,000 stock options. These options vest ratably over the first three anniversary dates of the grant date.
- (2) On April 28, 2017, Mr. Shovlin was granted 200,000 stock options. These options vest ratably over the first three anniversary dates of the grant date.
- (3) On February 26, 2018, Mr. Shovlin was granted 288,500 stock options. These options vest ratably over the first three anniversary dates of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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