Reid Julian Form 4 March 14, 2018

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

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**OMB APPROVAL** 

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Check this box if no longer subject to Section 16.

Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* CITY OF LONDON INVESTMENT **GROUP PLC** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First) (Middle) CHINA FUND INC [CHN]

(Check all applicable)

(Street)

3. Date of Earliest Transaction

(Month/Day/Year) 03/12/2018

\_\_ 10% Owner Director Officer (give title \_ Other (specify below)

6. Individual or Joint/Group Filing(Check

77 GRACECHURCH STREET,

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

LONDON, X0 EC3V0AS

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common Stock, par value \$.01 per share				308,857	I	By: Emerging (BMI) Markets Country Fund (2)			
Common Stock, par value \$.01 per share				116,563	I	By: Emerging Markets Free Fund (3)			
Common				250,030	I	By:			

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Stock, par value \$.01 per share (1)									Emerging Markets Global Fund (4)
Common Stock, par value \$.01 per share							172,029	I	By: Emerging Markets Investable Fund (5)
Common Stock, par value \$.01 per share (1)							409,090	I	By: Global Emerging Markets Fund (6)
Common Stock, par value \$.01 per share							168,067	I	By: The Emerging World Fund
Common Stock, par value \$.01 per share	03/12/2018	03/12/2018	P	6,502	A	\$ 22.78	199,927	I	By: Emerging Free Markets Country Fund (8)
Common Stock, par value \$.01 per share							305,041	I	By: Emerging Markets Country Fund (9)
Common Stock, par value \$.01 per share (1)							46,658	I	By: Investable Emerging Markets Country Fund (10)
Common Stock, par value \$.01 per share							42,096	I	By: The EM Plus CEF Fund (11)
Common Stock, par value \$.01 per share							11,637	I	By: The EM Special Situations CEF Focused Fund (12)

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Common Stock, par			By: unaffiliated
value \$.01	2,305,716	I	third-party
per share (1)			segregated accounts (13)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transacti	5. orNumber	6. Date Exer Expiration D		7. Title and Amount of	8. Price of Derivative	9. Nu Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securities	(Instr. 5)	Bene
	Derivative				Securities	3		(Instr. 3 and	4)	Owne
	Security				Acquired					Follo
					(A) or					Repo
					Disposed					Trans
					of (D)					(Instr
					(Instr. 3,					
					4, and 5)					
								Amo	unt	
						_		or	4111	
						Date	Expiration	Title Numl	ber	
						Exercisable	Date	of		
				Code V	(A) (D)			Share	es	

# **Reporting Owners**

Reporting Owner Name / Address		Relationships				
		10% Owner	Officer	Other		
CITY OF LONDON INVESTMENT GROUP PLC 77 GRACECHURCH STREET LONDON, X0 EC3V0AS		X				
CITY OF LONDON INVESTMENT MANAGEMENT CO LTD 77 GRACECHURCH STREET LONDON, X0 EC3V0AS		X				
Reid Julian C/O ANJIE LAROCCA, DEUTSCHE BANK 1251 AVENUE OF THE AMERICAS NEW YORK, NY 10154		X				
Silver Richard C/O ANJIE LAROCCA, DEUTSCHE ASSET MGMT. 345 PARK AVENUE		X				

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#### NEW YORK, NY 10154

## **Signatures**

/s/ Barry Olliff, Director - City of London Group PLC				
**Signature of I	Reporting Person	Date		
/s/ Barry Olliff, Director - City of London Investment Management Company Limited				
**Signature of I	Reporting Person	Date		
/s/ Julian Reid		03/14/2018		
**Signature of I	Reporting Person	Date		
/s/ Richard A. Silver		03/14/2018		
**Signature of ]	Reporting Person	Date		

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - This Form 4 is filed jointly by City of London Investment Group, PLC ("CLIG"), City of London Investment Management Company Limited ("CLIM"), Julian Reid, and Richard A. Silver (collectively, the "Reporting Persons"). Each of the Reporting Persons is a
- (1) member of a Section 13(d) group that collectively owns more than 10% of the Issuer's outstanding shares of Common Stock. Each of the Reporting Persons disclaims beneficial ownership of the securities reported herein except to the extent of his or its pecuniary interest therein. No one direct beneficial owner of the reported securities owns more than 5% of the outstanding securities of the Issuer.
- (2) These securities are beneficially owned by Emerging (BMI) Markets Country Fund.
- (3) These securities are beneficially owned by Emerging Markets Free Fund.
- (4) These securities are beneficially owned by Emerging Markets Global Fund.
- (5) These securities are beneficially owned by Emerging Markets Investable Fund.
- (6) These securities are beneficially owned by Global Emerging Markets Fund.
- (7) These securities are beneficially owned by The Emerging World Fund.
- (8) These securities are beneficially owned by Emerging Free Markets Country Fund.
- (9) These securities are beneficially owned by Emerging Markets Country Fund.
- (10) These securities are beneficially owned by Investable Emerging Markets Country Fund.
- (11) These securities are beneficially owned by The EM Plus CEF Fund.
- (12) These securities are beneficially owned by EM Special Situations CEF Focused Fund.
- (13) These securities are beneficially owned by unaffiliated third-party segregated accounts.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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