

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

CHARTWELL DIVIDEND & INCOME FUND INC

Form NSAR-B

January 29, 2002

PAGE 1  
000 B000000 11/30/2001  
000 C000000 0001059213  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 CHARTWELL DIVIDEND AND INCOME FUND, INC.  
001 B000000 811-8747  
001 C000000 6104074841  
002 A000000 400 BELLEVUE PARKWAY, C/O PFPC INC.  
002 B000000 WILMINGTON  
002 C000000 DE  
002 D010000 19809  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 CHARTWELL INVESTMENT PARTNERS, L.P.  
008 B000001 A  
008 C000001 801-54124  
008 D010001 BERWYN  
008 D020001 PA  
008 D030001 19312  
010 A000001 PRINCETON ADMINISTRATORS, L.P.  
010 C010001 PRINCETON  
010 C020001 NJ  
010 C030001 08543  
011 A000001 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.  
011 B000001 8-7221  
011 C010001 NEW YORK  
011 C020001 NY  
011 C030001 10080  
011 A000002 PRUDENTIAL SECURITIES INCORPORATED  
011 B000002 8-27154  
011 C010002 NEWARK  
011 C020002 NJ  
PAGE 2  
011 C030002 07101  
011 A000003 A.G. EDWARDS & SONS, INC.  
011 B000003 8-13580  
011 C010003 ST. LOUIS  
011 C020003 MO  
011 C030003 63103

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

011 A000004 ADVEST, INC.  
011 B000004 8-21409  
011 C010004 HARTFORD  
011 C020004 CT  
011 C030004 06103  
011 A000005 ROBERT W. BAIRD & CO. INCORPORATED  
011 B000005 8-0000  
011 C010005 MILWAUKEE  
011 C020005 WI  
011 C030005 53202  
011 A000006 EVEREN SECURITIES, INC.  
011 B000006 8-37180  
011 C010006 CHICAGO  
011 C020006 IL  
011 C030006 60603  
011 A000007 FAHNESTOCK & CO. INC.  
011 B000007 8-0000  
011 C010007 NEW YORK  
011 C020007 NY  
011 C030007 10005  
011 A000008 GRUNTAL & CO., L.L.C.  
011 B000008 8-000  
011 C010008 NEW YORK  
011 C020008 NY  
011 C030008 10005  
011 C040008 2176  
011 A000009 JANNEY MONTGOMERY SCOTT INC.  
011 B000009 8-462  
011 C010009 PHILADELPHIA  
011 C020009 PA  
011 C030009 19103  
011 A000010 LEGG MASON WOOD WALKER, INCORPORATED  
011 B000010 8-15939  
011 C010010 BALTIMORE  
011 C020010 MD  
011 C030010 21202  
012 A000001 PFPC INC.  
012 B000001 84-00000  
012 C010001 PROVIDENCE  
012 C020001 RI  
012 C030001 02940  
012 C040001 3027  
013 A000001 PRICEWATERHOUSECOOPERS LLP  
013 B010001 PHILADELPHIA  
013 B020001 PA  
PAGE 3  
013 B030001 19103  
013 B040001 7042  
015 A000001 PFPC TRUST COMPANY  
015 B000001 C  
015 C010001 PHILADELPHIA  
015 C020001 PA  
015 C030001 19153  
015 E010001 X  
018 000000 Y  
019 A000000 N  
019 B000000 0  
020 A000001 GOLDMAN, SACHS & CO.  
020 B000001 13-5108880  
020 C000001 257  
020 A000002 BANC OF AMERICA SECURITIES LLC  
020 B000002 56-2058405

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

020 C000002 44  
020 A000003 KEEFE, BRUYETTE & WOODS, INC.  
020 C000003 33  
020 A000004 DEUTSCHE BANC ALEX. BROWN INC.  
020 B000004 13-2730828  
020 C000004 28  
020 A000005 ALLIANCE CAPITAL MANAGEMENT L.P.  
020 C000005 24  
020 A000006 SALOMON SMITH BARNEY INC.  
020 B000006 11-2418191  
020 C000006 19  
020 A000007 FOX-PITT, KELTON INCORPORATED  
020 C000007 18  
020 A000008 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.  
020 B000008 13-5674085  
020 C000008 16  
020 A000009 BEAR, STEARNS & CO. INC.  
020 B000009 13-3299429  
020 C000009 16  
020 A000010 PRUDENTIAL SECURITIES INCORPORATED  
020 B000010 22-2347336  
020 C000010 16  
021 000000 643  
022 A000001 PNC CAPITAL MARKETS, INC.  
022 B000001 25-1471636  
022 C000001 1560684  
022 D000001 0  
022 A000002 J.P. MORGAN SECURITIES INC.  
022 B000002 13-3224016  
022 C000002 30592  
022 D000002 26620  
022 A000003 MORGAN STANLEY DEAN WITTER & CO.  
022 B000003 13-2655998  
022 C000003 16326  
022 D000003 15395  
PAGE 4  
022 A000004 DEUTSCHE BANC ALEX. BROWN INC.  
022 B000004 13-2730828  
022 C000004 14686  
022 D000004 16279  
022 A000005 BANC OF AMERICA SECURITIES LLC  
022 B000005 56-2058405  
022 C000005 11191  
022 D000005 17930  
022 A000006 CREDIT SUISSE FIRST BOSTON CORPORATION  
022 B000006 13-5659485  
022 C000006 14497  
022 D000006 14300  
022 A000007 GOLDMAN, SACHS & CO.  
022 B000007 13-5108880  
022 C000007 19627  
022 D000007 3054  
022 A000008 BEAR, STEARNS & CO. INC.  
022 B000008 13-3299429  
022 C000008 7401  
022 D000008 15061  
022 A000009 LEHMAN BROTHERS INC.  
022 B000009 13-2518466  
022 C000009 7940  
022 D000009 13151  
022 A000010 ABN AMRO INCORPORATED  
022 B000010 13-3227945

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

022 C000010 14188  
022 D000010 0  
023 C000000 1721027  
023 D000000 150544  
024 000000 Y  
025 A000001 PNC FINANCIAL SERVICES GROUP, INC.  
025 C000001 E  
025 D000001 1159  
025 A000002 MORGAN STANLEY DEAN WITTER & CO.  
025 B000002 13-2655998  
025 C000002 E  
025 D000002 726  
025 D000003 0  
025 D000004 0  
025 D000005 0  
025 D000006 0  
025 D000007 0  
025 D000008 0  
026 A000000 N  
026 B000000 N  
026 C000000 N  
026 D000000 Y  
026 E000000 N  
026 F000000 N  
026 G010000 N  
PAGE 5  
026 G020000 N  
026 H000000 N  
027 000000 N  
045 000000 Y  
046 000000 N  
047 000000 Y  
048 000000 0.950  
048 A010000 0  
048 A020000 0.000  
048 B010000 0  
048 B020000 0.000  
048 C010000 0  
048 C020000 0.000  
048 D010000 0  
048 D020000 0.000  
048 E010000 0  
048 E020000 0.000  
048 F010000 0  
048 F020000 0.000  
048 G010000 0  
048 G020000 0.000  
048 H010000 0  
048 H020000 0.000  
048 I010000 0  
048 I020000 0.000  
048 J010000 0  
048 J020000 0.000  
048 K010000 0  
048 K020000 0.000  
049 000000 N  
050 000000 N  
051 000000 N  
052 000000 N  
053 A000000 N  
054 A000000 Y  
054 B000000 Y

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

054 C000000 Y  
054 D000000 N  
054 E000000 N  
054 F000000 N  
054 G000000 N  
054 H000000 Y  
054 I000000 N  
054 J000000 Y  
054 K000000 N  
054 L000000 Y  
054 M000000 Y  
054 N000000 N  
055 A000000 N  
055 B000000 N  
056 000000 Y  
PAGE 6  
057 000000 N  
058 A000000 N  
059 000000 Y  
060 A000000 Y  
060 B000000 Y  
061 000000 0  
062 A000000 N  
062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0  
062 Q000000 0.0  
062 R000000 0.0  
066 A000000 Y  
066 B000000 N  
066 C000000 N  
066 D000000 N  
066 E000000 N  
066 F000000 Y  
066 G000000 N  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 Y  
070 C010000 N  
070 C020000 N  
070 D010000 N  
070 D020000 N  
070 E010000 N  
070 E020000 N  
070 F010000 N

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

070 F020000 N  
 070 G010000 N  
 070 G020000 N  
 070 H010000 N  
 070 H020000 N  
 PAGE 7  
 070 I010000 N  
 070 I020000 N  
 070 J010000 Y  
 070 J020000 N  
 070 K010000 N  
 070 K020000 N  
 070 L010000 Y  
 070 L020000 N  
 070 M010000 N  
 070 M020000 N  
 070 N010000 Y  
 070 N020000 N  
 070 O010000 Y  
 070 O020000 Y  
 070 P010000 N  
 070 P020000 N  
 070 Q010000 N  
 070 Q020000 N  
 070 R010000 N  
 070 R020000 N  
 071 A000000 299744  
 071 B000000 287471  
 071 C000000 217222  
 071 D000000 132  
 072 A000000 12  
 072 B000000 12996  
 072 C000000 3026  
 072 D000000 0  
 072 E000000 0  
 072 F000000 2136  
 072 G000000 337  
 072 H000000 0  
 072 I000000 30  
 072 J000000 44  
 072 K000000 0  
 072 L000000 106  
 072 M000000 12  
 072 N000000 36  
 072 O000000 0  
 072 P000000 2823  
 072 Q000000 0  
 072 R000000 64  
 072 S000000 43  
 072 T000000 0  
 072 U000000 0  
 072 V000000 0  
 072 W000000 252  
 072 X000000 5883  
 072 Y000000 0  
 072 Z000000 10139  
 072AA000000 24543  
 PAGE 8  
 072BB000000 46451  
 072CC010000 22057  
 072CC020000 0  
 072DD010000 19398

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

072DD020000	0
072EE000000	0
073 A010000	1.2396
073 A020000	0.0000
073 B000000	0.0000
073 C000000	0.0000
074 A000000	7819
074 B000000	0
074 C000000	0
074 D000000	98299
074 E000000	0
074 F000000	111292
074 G000000	0
074 H000000	0
074 I000000	0
074 J000000	1010
074 K000000	0
074 L000000	3443
074 M000000	43
074 N000000	221906
074 O000000	6826
074 P000000	194
074 Q000000	59923
074 R010000	0
074 R020000	0
074 R030000	656
074 R040000	168
074 S000000	0
074 T000000	154139
074 U010000	15798
074 U020000	0
074 V010000	9.76
074 V020000	0.00
074 W000000	0.0000
074 X000000	11790
074 Y000000	0
075 A000000	0
075 B000000	224815
076 000000	10.55
077 A000000	Y
077 B000000	Y
078 000000	N
080 A000000	FEDERAL INSURANCE COMPANY
080 C000000	750
081 A000000	N
081 B000000	0
082 A000000	N
	PAGE 9
082 B000000	0
083 A000000	N
083 B000000	0
084 A000000	N
084 B000000	0
085 A000000	Y
085 B000000	N
086 A010000	260
086 A020000	2780
086 B010000	0
086 B020000	0
086 C010000	0
086 C020000	0
086 D010000	0

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	16139P104
087	A030000	CWF
088	A000000	N
088	B000000	N
088	C000000	N
088	D000000	N
SIGNATURE	MARY KELLERMAN	
TITLE	FUND ACCTNG MANAGER	