

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

CHARTWELL DIVIDEND & INCOME FUND INC

Form NSAR-B

January 28, 2010

PAGE 1  
000 B000000 11/30/2009  
000 C000000 0001059213  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 CHARTWELL DIVIDEND AND INCOME FUND, INC.  
001 B000000 811-8747  
001 C000000 6104074841  
002 A000000 400 BELLEVUE PARKWAY, C/O PFPC INC.  
002 B000000 WILMINGTON  
002 C000000 DE  
002 D010000 19809  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 CHARTWELL INVESTMENT PARTNERS, L.P.  
008 B000001 A  
008 C000001 801-54124  
008 D010001 BERWYN  
008 D020001 PA  
008 D030001 19312  
008 D040001 2416  
010 A000001 SEI INVESTMENTS GLOBAL FUNDS SERVICES  
010 B000001 801-24593  
010 C010001 OAKS  
010 C020001 PA  
010 C030001 19456  
011 A000001 ROBERT W. BAIRD & CO. INCORPORATED  
011 B000001 8-0000  
011 C010001 MILWAUKEE  
011 C020001 WI  
011 C030001 53202  
011 A000002 EVEREN SECURITIES, INC.  
011 B000002 8-37180  
011 C010002 CHICAGO  
011 C020002 IL  
011 C030002 60603  
011 A000003 FAHNESTOCK & CO. INC.  
011 B000003 8-0000  
011 C010003 NEW YORK  
011 C020003 NY  
011 C030003 10005  
011 A000004 GRUNTAL & CO., LLC  
PAGE 2  
011 B000004 8-000  
011 C010004 NEW YORK  
011 C020004 NY  
011 C030004 10005  
011 C040004 2176  
011 A000005 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

011 B000005 8-7221  
011 C010005 NEW YORK  
011 C020005 NY  
011 C030005 10080  
011 A000006 PRUDENTIAL SECURITIES INCORPORATED  
011 B000006 8-27154  
011 C010006 NEWARK  
011 C020006 NJ  
011 C030006 07101  
011 A000007 A.G. EDWARDS & SONS, INC.  
011 B000007 8-13580  
011 C010007 ST. LOUIS  
011 C020007 MO  
011 C030007 63103  
011 A000008 ADVEST, INC.  
011 B000008 8-21409  
011 C010008 HARTFORD  
011 C020008 CT  
011 C030008 06103  
011 A000009 JANNEY MONTGOMERY SCOTT INC.  
011 B000009 8-462  
011 C010009 PHILADELPHIA  
011 C020009 PA  
011 C030009 19103  
011 A000010 LEGG MASON WOOD WALKER, INCORPORATED  
011 B000010 8-15939  
011 C010010 BALTIMORE  
011 C020010 MD  
011 C030010 21202  
012 A000001 PFPC INC.  
012 B000001 84-00000  
012 C010001 PROVIDENCE  
012 C020001 RI  
012 C030001 02940  
012 C040001 3027  
013 A000001 ERNST & YOUNG LLP  
013 B010001 PHILADELPHIA  
013 B020001 PA  
013 B030001 19103  
015 A000001 US BANK, N.A.  
015 B000001 C  
015 C010001 PHILADELPHIA  
015 C020001 PA  
015 C030001 19109  
015 E010001 X  
PAGE 3  
018 000000 Y  
019 A000000 N  
019 B000000 0  
020 A000001 MERRILL LYNCH  
020 B000001 13-3247006  
020 C000001 174  
020 A000002 MORGAN STANLEY  
020 B000002 94-1671384  
020 C000002 133  
020 A000003 GATEWAY TRUST  
020 C000003 31  
020 A000004 B. RILEY & CO.  
020 B000004 95-4592938  
020 C000004 20  
020 A000005 GOLDMAN SACHS CO.  
020 B000005 15-5108880

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

020 C000005 19  
020 A000006 JANNEY MONTGOMERY SCOTT INC.  
020 C000006 12  
020 A000007 BARCLAYS CAPITAL INC.  
020 B000007 13-3498485  
020 C000007 7  
020 A000008 MERRILL LYNCH & CO., INC.  
020 B000008 13-2740599  
020 C000008 5  
020 A000009 SCOTT & STRINGFELLOW INCORPORATED  
020 C000009 4  
020 A000010 LIQUIDNET INC.  
020 C000010 3  
021 000000 419  
022 A000001 JP MORGAN  
022 B000001 13-2224016  
022 C000001 4498  
022 D000001 3450  
022 A000002 FIRST TENNESSEE NATIONAL CORP.  
022 B000002 62-1758945  
022 C000002 1505  
022 D000002 3778  
022 A000003 BANK OF AMERICA  
022 B000003 56-2058466  
022 C000003 1665  
022 D000003 2733  
022 A000004 STIFEL FINANCIAL CORPORATION  
022 B000004 43-1273600  
022 C000004 1136  
022 D000004 922  
022 A000005 BROADCORT CAPITAL CORPORATION  
022 C000005 0  
022 D000005 1831  
022 A000006 PALI CAPITAL, INC.  
022 B000006 13-3864902  
PAGE 4  
022 C000006 237  
022 D000006 1548  
022 A000007 MORGAN STANLEY  
022 B000007 94-1671384  
022 C000007 1726  
022 D000007 0  
022 A000008 DEUTSCHE BANK SECURITIES INC.  
022 B000008 13-2730828  
022 C000008 1001  
022 D000008 128  
022 A000009 CITIGROUP GLOBAL CAPITAL MARKETS, INC.  
022 B000009 11-2418191  
022 C000009 556  
022 D000009 0  
022 A000010 CREDIT SUISSE FIRST BOSTON CORPORATION  
022 B000010 13-5659485  
022 C000010 453  
022 D000010 0  
023 C000000 14615  
023 D000000 15505  
024 000000 Y  
025 A000001 JPMORGAN CHASE & CO.  
025 B000001 13-2624428  
025 C000001 E  
025 D000001 2549  
026 A000000 N

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

026	B000000	N	
026	C000000	N	
026	D000000	Y	
026	E000000	N	
026	F000000	N	
026	G010000	N	
026	G020000	N	
026	H000000	N	
027	000000	N	
028	A010000		0
028	A020000		0
028	A030000		0
028	A040000		0
028	B010000		0
028	B020000		0
028	B030000		0
028	B040000		0
028	C010000		0
028	C020000		0
028	C030000		0
028	C040000		0
028	D010000		0
028	D020000		0
028	D030000		0
028	D040000		0
	PAGE	5	
028	E010000		0
028	E020000		0
028	E030000		0
028	E040000		0
028	F010000		0
028	F020000		0
028	F030000		0
028	F040000		0
028	G010000		0
028	G020000		0
028	G030000		0
028	G040000		0
028	H000000		0
030	A000000		0
030	B000000	0.00	
030	C000000	0.00	
031	A000000		0
031	B000000		0
032	000000		0
033	000000		0
035	000000		0
036	B000000		0
038	000000		0
042	A000000		0
042	B000000		0
042	C000000		0
042	D000000		0
042	E000000		0
042	F000000		0
042	G000000		0
042	H000000		0
043	000000		0
044	000000		0
045	000000	Y	
046	000000	N	
047	000000	Y	

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

048	000000	0.950	
048	A010000		0
048	A020000	0.000	
048	B010000		0
048	B020000	0.000	
048	C010000		0
048	C020000	0.000	
048	D010000		0
048	D020000	0.000	
048	E010000		0
048	E020000	0.000	
048	F010000		0
048	F020000	0.000	
048	G010000		0
048	G020000	0.000	
	PAGE	6	
048	H010000		0
048	H020000	0.000	
048	I010000		0
048	I020000	0.000	
048	J010000		0
048	J020000	0.000	
048	K010000		0
048	K020000	0.000	
049	000000	N	
050	000000	N	
051	000000	N	
052	000000	N	
053	A000000	Y	
053	B000000	Y	
053	C000000	N	
054	A000000	N	
054	B000000	N	
054	C000000	N	
054	D000000	N	
054	E000000	N	
054	F000000	N	
054	G000000	N	
054	H000000	Y	
054	I000000	N	
054	J000000	Y	
054	K000000	N	
054	L000000	N	
054	M000000	N	
054	N000000	N	
054	O000000	Y	
055	A000000	N	
055	B000000	Y	
056	000000	Y	
057	000000	N	
058	A000000	N	
059	000000	Y	
060	A000000	Y	
060	B000000	Y	
061	000000		0
062	A000000	N	
062	B000000		0.0
062	C000000		0.0
062	D000000		0.0
062	E000000		0.0
062	F000000		0.0
062	G000000		0.0

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0

PAGE 7

062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0  
062 Q000000 0.0  
062 R000000 0.0  
063 A000000 0  
063 B000000 0.0

066 A000000 Y  
066 B000000 N  
066 C000000 N  
066 D000000 N  
066 E000000 N  
066 F000000 Y  
066 G000000 N

067 000000 Y  
068 A000000 N  
068 B000000 N  
069 000000 N

070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 Y  
070 C010000 Y  
070 C020000 N  
070 D010000 Y  
070 D020000 Y  
070 E010000 N  
070 E020000 N  
070 F010000 N  
070 F020000 N  
070 G010000 N  
070 G020000 N  
070 H010000 N  
070 H020000 N  
070 I010000 N  
070 I020000 N  
070 J010000 Y  
070 J020000 Y  
070 K010000 Y  
070 K020000 Y  
070 L010000 Y  
070 L020000 N  
070 M010000 N  
070 M020000 N  
070 N010000 Y  
070 N020000 N  
070 O010000 Y  
070 O020000 N  
070 P010000 Y  
070 P020000 N

PAGE 8

070 Q010000 N  
070 Q020000 N  
070 R010000 Y  
070 R020000 N

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

071 A000000	53456
071 B000000	52857
071 C000000	72613
071 D000000	73
072 A000000	12
072 B000000	3233
072 C000000	2088
072 D000000	0
072 E000000	0
072 F000000	701
072 G000000	150
072 H000000	0
072 I000000	84
072 J000000	18
072 K000000	0
072 L000000	40
072 M000000	27
072 N000000	18
072 O000000	0
072 P000000	421
072 Q000000	0
072 R000000	56
072 S000000	155
072 T000000	0
072 U000000	0
072 V000000	0
072 W000000	253
072 X000000	1923
072 Y000000	74
072 Z000000	3472
072AA000000	7559
072BB000000	51555
072CC010000	56252
072CC020000	0
072DD010000	6898
072DD020000	0
072EE000000	0
073 A010000	0.4080
073 A020000	0.0000
073 B000000	0.0000
073 C000000	0.0000
074 A000000	0
074 B000000	0
074 C000000	0
074 D000000	35523
074 E000000	1210
074 F000000	42687
PAGE 9	
074 G000000	0
074 H000000	0
074 I000000	1817
074 J000000	0
074 K000000	0
074 L000000	836
074 M000000	46
074 N000000	82119
074 O000000	848
074 P000000	69
074 Q000000	0
074 R010000	0
074 R020000	0
074 R030000	136

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

074	R040000	10213
074	S000000	0
074	T000000	70853
074	U010000	16906
074	U020000	0
074	V010000	4.19
074	V020000	0.00
074	W000000	0.0000
074	X000000	43
074	Y000000	0
075	A000000	0
075	B000000	63920
076	000000	0.00
077	A000000	Y
077	B000000	Y
077	C000000	N
077	D000000	N
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	N
077	Q020000	N
077	Q030000	N
078	000000	N
080	A000000	Zurich American insurance Company
080	B000000	N/A
080	C000000	750
081	A000000	N
081	B000000	0
	PAGE	10
082	A000000	Y
082	B000000	10
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	0
086	A020000	0
086	B010000	0
086	B020000	0
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	16139P104
087	A030000	CWF
088	A000000	Y

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

088 B000000 N

088 C000000 N

088 D000000 N

SIGNATURE WINTHROP S. JESSUP

TITLE PRESIDENT