

FLAGSTAR BANCORP INC

Form 3/A

April 03, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â DiNello Alessandro

(Last) (First) (Middle)

C/O FLAGSTAR BANCORP,
INC.,Â 5151 CORPORATE
DRIVE

(Street)

TROY,Â MIÂ 48098

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

01/23/2013

3. Issuer Name and Ticker or Trading Symbol

FLAGSTAR BANCORP INC [(NYSE:FBC)]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Executive Vice-President

5. If Amendment, Date Original Filed(Month/Day/Year)

02/04/2013

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)Date Expiration
Exercisable Date3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)Title Amount or
Number of4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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				Shares		or Indirect (I) (Instr. 5)	
Stock Appreciation Rights	Â (1)	01/30/2014	Common Stock	73 (2)	\$ 1,448	D	Â
Stock Appreciation Rights	Â (1)	01/24/2018	Common Stock	93 (2)	\$ 686	D	Â
Employee Stock Options (Right to Buy)	Â (3)	03/18/2013	Common Stock	122 (2)	\$ 1,227	D	Â
Employee Stock Options (Right to Buy)	Â (3)	02/10/2014	Common Stock	61 (2)	\$ 2,268	D	Â
Employee Stock Options (Right to Buy)	Â (3)	01/24/2015	Common Stock	51 (2)	\$ 2,073	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DiNello Alessandro C/O FLAGSTAR BANCORP, INC. 5151 CORPORATE DRIVE TROY, MI 48098	Â	Â	Â Executive Vice-President	Â

Signatures

Jan M. Klym, by Power of Attorney for Mr.
DiNello

04/03/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock appreciation rights are fully vested, and are required to be settled in cash.
- (2) These derivative securities were originally disclosed on Mr. DiNello's Form 3 filed on February 4, 2010, but were unintentionally omitted on the Form 3 that was filed on February 4, 2013, when Mr. DiNello again become a reporting person.
- (3) The stock options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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